



Regional Transportation Authority

175 West Jackson Blvd.
Suite 1650
Chicago, Illinois 60604
312-913-3200
rtachicago.org

Public Agenda

Board of Directors

Thursday, August 22, 2019

Board Room

following Committee meeting(s)

1. Call to Order/Pledge of Allegiance

2. Roll Call

3. Approval of Minutes

3.a. From the meeting held on June 20, 2019

4. Public Comment

5. Executive Director's Report

6. Consent Items

6.a. Ordinance approving the Section 5310 Enhanced Mobility for Seniors and Individuals with Disabilities Program and Projects

6.b. Resolutions certifying financial results - Second Quarter 2019

6.c. Ordinance authorizing the amendment of the 2019-2023 Capital Program

7. Contracts/Expenditures

7.a. Ordinance authorizing contracts for state consulting services

7.b. Ordinance authorizing a contract for Non-Rider and Lapsed Rider Survey consulting services

7.c. Ordinance authorizing a contract for a Customer Satisfaction Survey

7.d. Ordinance authorizing a contract for vCISO data security

7.e. Travel Expense Reimbursements

8. New Business

ADJOURNMENT

MINUTES OF THE PUBLIC MEETING OF THE BOARD OF DIRECTORS
OF THE REGIONAL TRANSPORTATION AUTHORITY

The Board of Directors of the Regional Transportation Authority met in public session on Thursday, June 20, 2019 in Suite 1650, 175 West Jackson Blvd., Chicago, Illinois pursuant to notice.

Chairman Dillard called the meeting to order at 9:35 a.m. The Pledge of Allegiance followed.

ROLL CALL

Board members present (16): Coulson, Durante, Frega, Fuentes, Groven, Higgins, Holt, Kotel, Lewis, Magalis, Melvin, Pang, Ross (phone), Sager (phone) Troiani, Chairman Dillard

Approval of minutes from the meeting held on May 16, 2019

Director Pang moved, and Director Groven seconded that the minutes from the meeting held on May 16, 2019 be approved as submitted. The motion carried on the following roll call vote:

16 Ayes: Coulson, Durante, Frega, Fuentes, Groven, Higgins, Holt, Kotel, Lewis, Magalis, Melvin, Pang, Ross (phone), Sager (phone), Troiani, Chairman Dillard

Public Comment

Garland Armstrong addressed the Board. He complimented the Board and everyone who took part and was responsible for the successful Capital program. He also expressed his gratitude and congratulations to Directors Magalis and Durante for their years of dedicated service to the RTA.

Kane County Chairman Chris Lauzen addressed the Board regarding the funding allocation request for Metra service expansion into Kendall County. He asked the Board for some sort of reassurance to Kane County that funding priorities will be made to state of good repair rather than expansion into new territories. He added if expansion became an option, it should only be made into RTA ta paying territories. He pointed out that Kendall County does not pay into the system and that they could be served by expansion into Sugar Grove, which would serve both southern Kane and northern Kendall counties.

Chairman Lauzen also pointed out that CMAP has designated Sugar Grove and Kendall County as unconstrained, which means they are ineligible for federal funding through a local match program. He concluded by inviting the RTA to speak at a CMAP meeting of the Cook and Collar County Chairmen on September 27th to comment on this matter.

Director Magalis commented that funding for the existing system is already a struggle and changes to the service area would have to be addressed through the process that all counties currently in the region underwent and would involve the residents too.

Chairman Dillard also pointed out that this expansion request is not an initiative of Metra, but came from Kendall County.

Executive Director's Report

Ms. Redden reported that at the end of through the end of May, the State owes the RTA \$258.9 million of ASA, AFA, and PTF. The State is 11 months behind on ASA; 11 months behind on AFA; and the equivalent of 4.7 months behind on PTF. The YTD cost of short-term debt is \$2.3 million.

She then briefed the Board on the Illinois General Assembly. The Illinois General Assembly adjourned on Sunday, June 2nd, after approving a state fiscal year 2020 budget. As well as a large, six-year capital infrastructure program. The state fiscal year 2020 operating budget contained funding levels for the RTA region consistent with SFY 2019.

The capital construction program passed by the General Assembly included funding for transit, roads, bridges, airports and ports as well as funding for vertical infrastructure. The program is a combination of pay as you go revenue and bonded revenue. The pay as you go (or "Pay Go") portion of the capital program is paid for through the increase in the state's motor fuel tax and is estimated that the new revenue will generate approximately \$227 million a year over the next few years. In addition, the RTA will receive \$2.7 billion in bond revenue over the next six years, but approximately \$2.23 billion has been appropriated to the RTA region without legislative earmarks.

In addition, on May 30th, the Illinois Senate passed the RTA's short-term borrowing authorization bill with bi-partisan support.

Washington spent the majority of May and early June focused on spending bills, disaster relief legislation and confirmation hearings. The House is currently negotiating specifics of their FY2020 budget; however the Senate has still not decided on basic spending levels. The highly anticipated second White House meeting to discuss an infrastructure package was scheduled for May 22 when the President came into the meeting and stated his displeasure with some of the oversight hearings taking place in the House. Following that, much of Washington began dismissing any thought that a large new infrastructure bill could get passed in 2019 or 2020. The prevailing wisdom is that there will be a reauthorization of the current federal law. That will need to be completed by October 1, 2020.

The RTA received the highly coveted Golden Trumpet Award in the 2019 Publicity Club of Chicago Awards competition for the region's #InvestInTransit Video. The "Golden Trumpet" statuette is PCC's the highest Honor. The award-winning video is featured on the RTA website.

This summer, the RTA is leading a regional "We Can All Agree on Transit" campaign. You may see short social media posts on the agency or Service Board sites or hear ads on local radio stations.

Presentation and public participation: FTA Triennial DBE Goal

Ms. LaToya Redd opened the presentation by providing some background information on the Triennial process. Ms. Colette Holt, an attorney and national expert on the DBE program, presented on the results of a comprehensive statistical analysis for the RTA's DBE Goal Methodology for Federal Fiscal Years 2020-2022. The proposed DBE goal for federal funded projects in FFYs 2020-2022 will be 16.2%. The triennial DBE goal methodology will be submitted to the FTA on August 1, 2019.

Consent Agenda Items

Director Durante moved, and Director Melvin seconded the adoption of the following resolutions and ordinances as submitted on the Consent Agenda:

- 2019-27 This ordinance approves the amendment to the 2019-2023 Capital Program and incorporates changes in program revenue and expenditures for CTA, Metra and Pace.
- 2019-28 This ordinance authorizes the execution of federal formula funding allocations based on the Letter of Understanding agreements between NE-Illinois and NW-Indiana and between NE-Illinois and SE-Wisconsin. The agreements reflect the share of the region's full FFY 2019 funding allocations for the following federal transit formula programs: Federal §5307 including §5340 formula program, §5337 State of Good Repair, §5339 Bus and Bus Facilities, and §5310 Enhanced Mobility of Seniors and Individuals with Disabilities.
- 2019-29 This ordinance approves a project scope adjustment and a time extension for implementation of CTA and Metra's Innovation, Coordination, and Enhancement (ICE) funded projects.
- 2019-30 This ordinance approves the 2018 Combining Financial Report for the RTA and the Service Boards and certifies compliance with the RTA Act recovery ratio requirement for 2018
- 2019-31 This ordinance approves and releases the RTA 2018 Comprehensive Annual Financial Report.

The motion carried on the following leave for last unanimous roll call vote:

16 Ayes: Coulson, Durante, Frega, Fuentes, Groven, Higgins, Holt, Kotel, Lewis, Magalis, Melvin, Pang, Ross, Sager, Troiani, Chairman Dillard

Contracts/Expenditure Items

Director Troiani moved, and Director Lewis seconded the adoption of the following ordinances, as well as the approval of Board travel expenditures as submitted:

- 2019-32 This ordinance authorizes an amendment to the RTA's contract with MDV Transit and Traffic Solutions, Inc. ("MDV") for Trip Planner software support and maintenance services. The amendment will extend MDV's services from August 4, 2019 through March 31, 2020 for an additional amount of \$116,349.20.
- 2019-33 This ordinance authorizes an amendment to the contract with Brisk Business, Inc. for project management services. The amendment would amend the previously awarded Task Order for support of the RTA's ERP Implementation Project from \$275,000 to a value not to exceed \$480,000.
- 2019-34 This ordinance authorizes the execution of a 9-month contract with Western Remac, Inc. to fabricate and install interagency transit signs at 6 expansion locations for an amount not-to-exceed \$414,468. 90% of this contract will be externally funded through a federal grant from the Congestion Mitigation and Air Quality Improvement (CMAQ) Program. The RTA is providing the required 10% local match of \$41,446.80 using RTA funds already budgeted for this purpose. Western Remac, Inc. will meet the 11% DBE goal established for this contract by retaining a certified DBE sub-consultant.

The motion carried on the following leave for last unanimous roll call vote:

16 Ayes: Coulson, Durante, Frega, Fuentes, Groven, Higgins, Holt, Kotel, Lewis, Magalis, Melvin, Pang, Ross, Sager, Troiani, Chairman Dillard

Chairman Dillard stated that the next meeting of the RTA Board of Directors was scheduled for July 18, 2019.

Resolutions honoring Dwight A. Magalis and Patrick J. Durante

Directors Magalis and Durante both spoke about their time on the RTA Board and acknowledged the Board for their hard work and dedicated service. They also acknowledged several key staff for their hard work and assistance over the years.

Several Board members complimented both directors on their years of conscientious dedication and tremendous knowledge that would be lost with their departure. They wished them well.

Resolution honoring Dwight A. Magalis

Director Troiani moved, and Director Melvin seconded. The motion carried on the following leave for last unanimous roll call vote:

16 Ayes: Coulson, Durante, Frega, Fuentes, Groven, Higgins, Holt, Kotel, Lewis, Magalis, Melvin, Pang, Ross, Sager, Troiani, Chairman Dillard

Resolution honoring Patrick J. Durante

Director Fuentes moved, and Director Groven seconded. The motion carried on the following leave for last unanimous roll call vote:

16 Ayes: Coulson, Durante, Frega, Fuentes, Groven, Higgins, Holt, Kotel, Lewis, Magalis, Melvin, Pang, Ross, Sager, Troiani, Chairman Dillard

Chairman Dillard stated that the next meeting of the RTA Board of Directors was scheduled for July 18, 2019.

ADJOURNMENT

There being no further business to come before the Board of Directors, Director Coulson moved, and Director Frega seconded that the meeting adjourn. The motion carried on the following voice vote:

16 Ayes: Coulson, Durante, Frega, Fuentes, Groven, Higgins, Holt, Kotel, Lewis, Magalis, Melvin, Pang, Ross, Sager, Troiani, Chairman Dillard

The public portion of the Board meeting concluded at 10:55 a.m.

AUDREY MACLENNAN
Secretary of the Authority

To: Planning & Administration Committee

From: Leanne P. Redden, Executive Director

Date: August 14, 2019

Re: ORDINANCE APPROVING THE SECTION 5310 –ENHANCED MOBILITY FOR
SENIORS AND INDIVIDUALS WITH DISABILITIES PROGRAM OF PROJECTS



**Regional
Transportation
Authority**

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Staff requests an ordinance authorizing the implementation of the Section 5310 – Enhanced Mobility for Seniors and Individuals with Disabilities fiscal year 2018-2019 Program of Projects. Funding is proposed for 11 projects: Association for Individual Development – Ride in Kane; City of Naperville –Ride DuPage to Work; DuPage County – Transportation to Work; Kendall County – Kendall Area Transit; Little City Foundation – Transportation Program; McHenry County – McRide; Will County – Will Ride; Ray Graham Association for People with Disabilities – Ensuring Access to the Community; Clearbrook – Community Connections for Adults with Disabilities; CTF Illinois – Transportation Services for People with Disabilities; and, Pace – Regional Call Center.

The Section 5310 program is a federally funded program with grantees responsible for the local match. No RTA funds are required to support the projects. The total program budget is \$16,518,695 including \$9,111,584 federal funding and \$7,407,111 in local matching funds. A public comment period was held July 1-31, 2019 to solicit comments on the proposed projects. The RTA received a total of 107 comments; 100 in support of the Ray Graham project and 7 in support of the Little City project.

Background

The Section 5310 Program is a formula grant program that provides assistance to public transportation projects that focus on enhancing mobility for seniors and individuals with disabilities. The RTA has administered similar federal programs since 2006, becoming the Designated Recipient for the Section 5310 program with IDOT in 2013. As a co-designated recipient of the Section 5310 Program, RTA awards and administers Section 5310 eligible operating, mobility management, capital improvement projects and associated administrative expenses, while IDOT retains responsibility for awarding and administering grants for paratransit vehicles. This proposed program includes support for operations, mobility management, and administrative expenses.

With approval of the proposed ordinance, this Section 5310 Program of Projects will be incorporated into the federally required Coordinated Human Service Transportation Plan (HSTP). The HSTP identifies strategies for improving mobility for seniors and individuals with disabilities and a process for project selection.

The RTA received fourteen applications in response to an open call for projects held this spring. The projects were reviewed for eligibility and ranked utilizing criteria included in the HSTP by a project selection team consisting of representatives from the Illinois Department of Transportation, the Chicago Metropolitan Agency for Planning and the RTA. Based on this process, eleven projects are recommended for inclusion in the fiscal year 2018 & 2019 Program of Projects (noted in the below table). Please note that, while Lake County's Ride Lake County West and Ride Lake County Central projects are supported with Section 5310, funding is not recommended for either project as part of this program as the County has unspent Section 5310 funds that will support the programs through the next call for projects in 2021.

Applicant	Project Title	Description and Justification	Total Estimated Budget	Federal Share
AID	Ride in Kane Phase 17 & 18	The Ride in Kane project coordinates paratransit services in Kane County into a centralized system that optimizes transportation resources for older adults and persons with disabilities. The sponsors of this project include multiple private non-profit organizations and local units of government. Currently there are 17 private, non-profit organizations and local units of government participating in the program. This is an existing Section 5310 project.	\$5,000,992	\$2,502,996
City of Naperville	Ride DuPage to Work	This project continues to operate as part of the Ride DuPage program. Older adults are afforded increased employment opportunities by providing them with transportation to jobs outside of their own town and at times that may extend beyond traditional work hours. Seven local governments sponsor the service. This is an existing Section 5310 funded project. This is an existing Section 5310 project.	\$1,320,000	\$660,000
Clearbrook	Community Connections for Adults with Disabilities	This project provides transportation services to more than 8,000 children & adults with Intellectual/developmental disabilities. Clients are transported to work, school, day programs, medical appointments, community recreation, and other activities. This is a new Section 5310 project.	\$200,000	\$100,000
CTF Illinois	Transportation Services for People with Disabilities	This project provides transportation support to children and adults with diverse disabilities. The door to door transportation supports are a vital service that allows	\$200,000	\$100,000

		persons enrolled at CTF greater access to their daily supports including vocational, community employment, educational, fine arts, and advocacy. This is a new Section 5310 project.		
DuPage County	Transportation to Work	The Transportation to Work Program is an existing project that provides demand-responsive transportation to and from work for persons with disabilities. The project operates 24 hours per day, 365 days per year and serves all of DuPage County. This is an existing Section 5310 project.	\$984,000	\$492,000
Little City Foundation	Transportation Program	This project provides transportation for seniors and individuals with intellectual and developmental disabilities, who are enrolled with Little City, to places of employment, community-based programs, Special Olympics regional competitions, and medical appointments. This is an existing Section 5310 funded project.	\$1,088,194	\$544,097
Kendall County	Kendall Area Transit	This is a general public, demand responsive paratransit service that provides service predominately for senior citizens and persons with disabilities in the urban portions of Kendall County. This is an existing Section 5310 project.	\$640,000	\$320,000
McHenry County	McRide	McRide program provides coordinated dial-a-ride service to individuals with disabilities and individuals 60 years or older. This is an existing Section 5310 project.	\$2,400,000	\$1,200,000
Ray Graham Association	RGA Comprehensive Transportation Program	Individuals with disabilities are transported from their homes to Community Learning Centers and to a variety of other activities including grocery shopping, medical appointments, volunteer opportunities and recreational activities. This is an existing Section 5310 project.	\$1,533,054	\$766,527
Will County	Will-Ride	This program provides transportation and mobility management services for persons with disabilities and older adults residing in eastern Will County townships. This is an existing Section 5310 project.	\$320,000	\$160,000

Pace	Phase VII Regional Call Center	Pace's Regional Call Center takes reservations for dial a ride and demand response services to seniors and individuals with disabilities. The Call Center provides comprehensive mobility management services that improve the coordination and operation of transportation services. This is an existing Section 5310 project.	\$2,832,455	\$2,265,964
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Estimated Funding Sources

The table below summarizes the proposed program budget and sources of funding:

Program	RTA	Federal	Local	Program Budget
Section 5310	\$0	\$9,111,584	\$7,407,111	\$16,518,695

Next Steps

Following approval of the program of projects, RTA staff will develop a grant application for submission to the Federal Transit Administration so that the grantees may draw down funds for their respective project activities.

Prepared by: Local Planning and Program Management
LPR / JL / LN / HBM

ORDINANCE NO.

WHEREAS, the Regional Transportation Authority (the "Authority") is the region's Designated Recipient for federal Section 5310 Enhanced Mobility of Seniors and Individuals with Disabilities Program funds;

WHEREAS, the Authority is required to select the projects for funding under the Section 5310 Program;

WHEREAS, the Authority, working collaboratively with the Service Boards, the Chicago Metropolitan Agency for Planning (CMAP), the Illinois Department of Transportation (IDOT), and regional stakeholders, developed the federally required Coordinated Human Services Transportation Plan (HSTP);

WHEREAS, the project selection process was conducted in accordance with the HSTP; and

WHEREAS, it is in the best interest of the Authority to facilitate the expeditious implementation of the approved projects.

NOW, THEREFORE, BE IT ORDAINED BY THE BOARD OF DIRECTORS OF THE REGIONAL TRANSPORTATION AUTHORITY that:

1. The Executive Director of the Authority is hereby authorized and directed to transmit the approved list of projects, substantially in the form attached hereto, to CMAP for inclusion in the Transportation Improvement Program.
2. The Executive Director of the Authority is hereby authorized and directed to take such action as the Executive Director deems necessary or appropriate to implement and enforce this ordinance and the Section 5310 program, including: the submittal of grant applications to the Federal Transit Administration to fund the approved program of projects; the revision of award amounts of up to 10% in order to optimize the use of available Federal funding; and the incorporation of approved Section 5310 projects into the HSTP.

Section 5310 –Enhanced Mobility for Seniors and Individuals with Disabilities FY2018-FY2019 Program of Projects

Applicant	Project Title	Description and Justification	Total Estimated Budget	Federal Share
AID	Ride in Kane Phase 17 & 18	The Ride in Kane project coordinates paratransit services in Kane County into a centralized system that optimizes transportation resources for older adults and persons with disabilities. The sponsors of this project include multiple private non-profit organizations and local units of government. Currently there are 17 private, non-profit organizations and local units of government participating in the program. This is an existing Section 5310 project.	\$5,000,992	\$2,502,996
City of Naperville	Ride DuPage to Work	This project continues to operate as part of the Ride DuPage program. Older adults are afforded increased employment opportunities by providing them with transportation to jobs outside of their own town and at times that may extend beyond traditional work hours. Seven local governments sponsor the service. This is an existing Section 5310 funded project. This is an existing Section 5310 project.	\$1,320,000	\$660,000
Clearbrook	Community Connections for Adults with Disabilities	This project provides transportation services to more than 8,000 children & adults with Intellectual/developmental disabilities. Clients are transported to work, school, day programs, medical appointments, community recreation, and other activities. This is a new Section 5310 project.	\$200,000	\$100,000
CTF Illinois	CTF Illinois Transportation Services for People with Disabilities	This project provides transportation support to children and adults with diverse disabilities. The door to door transportation supports are a vital service that allows persons enrolled at CTF greater access to their daily supports including vocational, community employment, educational, fine arts, and advocacy. This is a new Section 5310 project.	\$200,000	\$100,000
DuPage County	Transportation to Work	The Transportation to Work Program is an existing project that provides demand-responsive transportation to and from work for persons with disabilities. The project operates 24 hours per day, 365 days per year and serves all of DuPage County. This is an existing Section 5310 project.	\$984,000	\$492,000
Little City Foundation	Transportation Program	This project provides transportation for seniors and individuals with intellectual and developmental disabilities, who are enrolled with Little City, to places of employment, community-based programs, Special Olympics regional competitions, and medical appointments. This is an existing Section 5310 funded project.	\$1,088,194	\$544,097
Kendall County	Kendall Area Transit	This is a general public, demand responsive paratransit service that provides service predominately for senior citizens and persons with disabilities in the urban portions of Kendall County. This is an existing Section 5310 project.	\$640,000	\$320,000

McHenry County	McRide	McRide program provides coordinated dial-a-ride service to individuals with disabilities and individuals 60 years or older. This is an existing Section 5310 project.	\$2,400,000	\$1,200,000
Ray Graham Association	RGA Comprehensive Transportation Program	Individuals with disabilities are transported from their homes to Community Learning Centers and to a variety of other activities including grocery shopping, medical appointments, volunteer opportunities and recreational activities. This is an existing Section 5310 project.	\$1,533,054	\$766,527
Will County	Will-Ride	This program provides transportation and mobility management services for persons with disabilities and older adults residing in eastern Will County townships. This is an existing Section 5310 project.	\$320,000	\$160,000
Pace	Phase VII Regional Call Center	Pace's Regional Call Center takes reservations for dial a ride and demand response services to seniors and individuals with disabilities. The Call Center provides comprehensive mobility management services that improve the coordination and operation of transportation services. This is an existing Section 5310 project.	\$2,832,455	\$2,265,964

To: Finance Committee

From: Leanne P. Redden, Executive Director

Date: August 15, 2019

Re: Resolutions Certifying Financial Results - Second Quarter 2019



**Regional
Transportation
Authority**

175 W. Jackson Blvd,
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rtachicago.org

Staff is requesting Board adoption of resolutions certifying that the operating financial results of each Service Board and the region as a whole, through the second quarter of 2019, are substantially in accordance with budget. The system-wide operating deficit of the Service Boards, including Pace ADA Paratransit, was \$789.8 million. This result is \$19.1 million, or 2.4%, favorable to the adopted budget. The regional system-generated revenue recovery ratio of 49.6%, which excludes ADA Paratransit, was 0.2 percentage points favorable to budget.

The attached documents include the proposed resolutions that find each of the Service Boards and the region as a whole, through the second quarter of 2019, in substantial accordance with the budget established on December 13, 2018.

Summary of Financial Results

CTA

The CTA's operating deficit of \$427.7 million was \$0.1 million, or less than 0.1%, favorable to budget, and its recovery ratio of 53.7% was 0.5 percentage points unfavorable to budget. Operating revenues of \$332.1 million were \$9.9 million unfavorable to budget and operating expenses of \$759.8 million were \$10.0 million favorable to budget.

Metra

Metra's operating deficit of \$196.1 million was \$11.7 million, or 5.6%, favorable to budget, and its recovery ratio of 54.2% was 1.8 percentage points favorable to budget. Operating revenues of \$202.8 million were \$0.4 million unfavorable to budget and operating expenses of \$399.0 million were \$12.1 million favorable to budget.

Pace Suburban Service

Pace's operating deficit of \$83.8 million was \$3.3 million, or 3.8%, favorable to budget, and its recovery ratio of 29.6% was 0.4 percentage points unfavorable to budget. Operating revenues of \$26.8 million were \$1.7 million unfavorable to budget and operating expenses of \$110.6 million were \$5.0 million favorable to budget.

Regional ADA Paratransit

ADA Paratransit's operating deficit of \$82.2 million was \$3.9 million, or 4.5%, favorable to budget, and its recovery ratio of 10.8% was 0.7 percentage points favorable to budget. Operating revenues of \$7.7 million were \$0.6 million favorable to budget and operating expenses of \$89.9 million were \$3.3 million favorable to budget.

Section 2.01d of the RTA Act requires the Executive Director to provide a written determination to the Board, in August, of the projected annual costs of ADA Paratransit services for the current year. The operating deficit for ADA Paratransit is currently projected to finish 2019 favorable to budget. Based upon the financial results for ADA Paratransit through the second quarter, and after discussions with Pace budget staff, no additional RTA funding is expected to be required by Pace for the provision of ADA Paratransit services in 2019, barring any unforeseen events.

Region as a Whole

The regional operating deficit of \$789.8 million was \$19.1 million, or 2.4%, favorable to budget. Operating revenues of \$569.5 million were \$11.4 million unfavorable to budget and operating expenses of \$1.359 billion were \$30.5 million favorable to budget. The regional recovery ratio of 49.6% was 0.2 percentage points favorable to budget.

The recovery ratio has been on a steadily improving trend since the first month of the year, when it finished 2.0 points unfavorable to budget following the historic cold weather event of January 29-31.

Prepared by: Finance, Innovation, and Technology

LPR/BRH/WDL/DKA/EDL
Attachments

RESOLUTION NO.

WHEREAS, pursuant to section 4.11(c)(2) of the Regional Transportation Authority Act (the "RTA Act") the Board of Directors of the Regional Transportation Authority (the "RTA") determines whether the quarterly financial condition and results of operations of the public transportation services subject to the jurisdiction of the Chicago Transit Authority (the "CTA") are substantially in accordance with the CTA's approved budget for that period, not by way of audit but rather through the assessment of data compiled from the financial statements and reports required by section 4.11(c)(2) to be provided by the CTA for such purpose;

WHEREAS, the RTA Board adopted the 2019 operating budget of the Service Boards on December 13, 2018;

WHEREAS, the adopted budget for the CTA includes a budgeted operating deficit and a budgeted system-generated revenue recovery ratio, which reflect and measure the financial condition and results of operations of the public transportation services subject to the jurisdiction of the CTA; and

WHEREAS, the year-to-date operating results of the CTA, through the second quarter of 2019, were:

	<u>YTD</u>
Operating Deficit (in millions)	
Actual	<u>\$427.7</u>
Budget	<u>\$427.8</u>
System-Generated Revenue Recovery Ratio	
Actual	<u>53.7%</u>
Budget	<u>54.1%</u>

NOW, THEREFORE, BE IT RESOLVED, that the Board of Directors of the RTA hereby finds that the year-to-date financial condition and results of operations of the public transportation services subject to the jurisdiction of the CTA, through the second quarter of 2019, are substantially in accordance with CTA's budget as adopted by the RTA Board on December 13, 2018.

BE IT FURTHER RESOLVED, that a copy of this resolution be submitted to the Governor, the Mayor of Chicago, and the Auditor General of Illinois in accordance with Section 4.11(e) of the RTA Act.

RESOLUTION NO.

WHEREAS, pursuant to section 4.11(c)(2) of the Regional Transportation Authority Act (the "RTA Act") the Board of Directors of the Regional Transportation Authority (the "RTA") determines whether the quarterly financial condition and results of operations of the public transportation services subject to the jurisdiction of the Commuter Rail Division of the RTA ("Metra") are substantially in accordance with Metra's approved budget for that period, not by way of audit but rather through the assessment of data compiled from the financial statements and reports required by section 4.11(c)(2) to be provided by Metra for such purpose;

WHEREAS, the RTA Board adopted the 2019 operating budget of the Service Boards on December 13, 2018;

WHEREAS, the adopted budget for Metra includes a budgeted operating deficit and a budgeted system-generated revenue recovery ratio, which reflect and measure the financial condition and results of operations of the public transportation services subject to the jurisdiction of Metra; and

WHEREAS, the year-to-date operating results of Metra, through the second quarter of 2019, were:

	<u>YTD</u>
Operating Deficit (in millions)	
Actual	<u>\$196.1</u>
Budget	<u>\$207.9</u>
System-Generated Revenue Recovery Ratio	
Actual	<u>54.2%</u>
Budget	<u>52.4%</u>

NOW, THEREFORE, BE IT RESOLVED, that the Board of Directors of the RTA hereby finds that the year-to-date financial condition and results of operations of the public transportation services subject to the jurisdiction of Metra, through the second quarter of 2019, are substantially in accordance with Metra's budget as adopted by the RTA Board on December 13, 2018.

BE IT FURTHER RESOLVED, that a copy of this resolution be submitted to the Governor and the Auditor General of Illinois in accordance with Section 4.11(e) of the RTA Act.

RESOLUTION NO.

WHEREAS, pursuant to section 4.11(c)(2) of the Regional Transportation Authority Act (the "RTA Act") the Board of Directors of the Regional Transportation Authority (the "RTA") determines whether the quarterly financial condition and results of operations of the public transportation services subject to the jurisdiction of the Suburban Bus Division of the RTA ("Pace") are substantially in accordance with Pace's approved budget for that period, not by way of audit but rather through the assessment of data compiled from the financial statements and reports required by section 4.11(c)(2) to be provided by Pace for such purpose;

WHEREAS, the RTA Board adopted the 2019 operating budget of the Service Boards on December 13, 2018;

WHEREAS, the adopted budget for Pace Suburban Service includes a budgeted operating deficit and a budgeted system-generated revenue recovery ratio, which reflect and measure the financial condition and results of operations of the public transportation services subject to the jurisdiction of Pace; and

WHEREAS, the year-to-date operating results of Pace Suburban Service, through the second quarter of 2019, were:

	<u>YTD</u>
Operating Deficit (in millions)	
Actual	<u>\$83.8</u>
Budget	<u>\$87.1</u>
System-Generated Revenue Recovery Ratio	
Actual	<u>29.6%</u>
Budget	<u>30.1%</u>

NOW, THEREFORE, BE IT RESOLVED, that the Board of Directors of the RTA hereby finds that the year-to-date financial condition and results of operations of the public transportation services subject to the jurisdiction of Pace Suburban Service, through the second quarter of 2019, are substantially in accordance with Pace's budget as adopted by the RTA Board on December 13, 2018.

BE IT FURTHER RESOLVED, that a copy of this resolution be submitted to the Governor and the Auditor General of Illinois in accordance with Section 4.11(e) of the RTA Act.

RESOLUTION NO.

WHEREAS, pursuant to section 4.11(c)(2) of the Regional Transportation Authority Act (the "RTA Act") the Board of Directors of the Regional Transportation Authority (the "RTA") determines whether the quarterly financial condition and results of operations of the public transportation services subject to the jurisdiction of the Suburban Bus Division of the RTA ("Pace") are substantially in accordance with Pace's approved budget for that period, not by way of audit but rather through the assessment of data compiled from the financial statements and reports required by section 4.11(c)(2) to be provided by Pace for such purpose;

WHEREAS, the RTA Board adopted the 2019 operating budget of the Service Boards on December 13, 2018;

WHEREAS, the adopted budget for Pace Regional ADA Paratransit includes a budgeted operating deficit and a budgeted system-generated revenue recovery ratio, which reflect and measure the financial condition and results of operations of the public transportation services subject to the jurisdiction of Pace; and

WHEREAS, the year-to-date operating results of Pace Regional ADA Paratransit, through the second quarter of 2019, were:

	<u>YTD</u>
Operating Deficit (in millions)	
Actual	<u>\$82.2</u>
Budget	<u>\$86.0</u>
System-Generated Revenue Recovery Ratio	
Actual	<u>10.8%</u>
Budget	<u>10.1%</u>

NOW, THEREFORE, BE IT RESOLVED, that the Board of Directors of the RTA hereby finds that the year-to-date financial condition and results of operations of the public transportation services subject to the jurisdiction of Pace Regional ADA Paratransit, through the second quarter of 2019, are substantially in accordance with Pace's Regional ADA Paratransit budget as adopted by the RTA Board on December 13, 2018.

BE IT FURTHER RESOLVED, that a copy of this resolution be submitted to the Governor and the Auditor General of Illinois in accordance with Section 4.11(e) of the RTA Act.

RESOLUTION NO.

WHEREAS, section 4.01(b) of the Regional Transportation Authority Act (the "RTA Act") requires the Board of Directors of the Regional Transportation Authority (the "RTA") to prepare an annual budget and financial plan that show that the level of fares and charges for mass transportation provided by, or under grant or purchase of service contracts of the Service Boards, is sufficient to cause the aggregate of all projected revenues from such fares and charges received in each fiscal year to equal at least 50% of the aggregate costs of providing such public transportation in such fiscal year;

WHEREAS, pursuant to section 4.11(c)(2) of the RTA Act, the Board of the RTA determines whether the quarterly financial condition and results of operations of the public transportation services subject to the jurisdiction of its Service Boards - the Chicago Transit Authority, the Commuter Rail Division, and the Suburban Bus Division - are substantially in accordance with the RTA's approved budgets for the Service Boards for that period, not by way of audit but rather through the assessment of data compiled from the financial statements and reports required by section 4.11(c)(2) to be provided by the Service Boards for such purpose;

WHEREAS, the RTA Board adopted the 2019 operating budget of the Service Boards on December 13, 2018;

WHEREAS, the budgets approved by the RTA for the Service Boards include a budgeted operating deficit and a budgeted system-generated revenue recovery ratio, which reflect and measure the financial condition and results of operations of the public transportation services subject to the jurisdiction of the Service Boards;

WHEREAS, the year-to-date combined operating deficit of the Service Boards, including Pace Regional ADA Paratransit, through the second quarter of 2019, was:

	YTD	
Operating Deficit (in millions)		
Actual	<u>\$789.8</u>	
Budget	<u>\$808.8</u>	; and

WHEREAS, the system-generated revenue recovery ratio of the entire regional public transportation system, apart from ADA Paratransit services, through the second quarter of 2019, was:

	<u>YTD</u>
System-Generated Revenue Recovery Ratio	
Actual	<u>49.6%</u>
Budget	<u>49.4%</u>

NOW, THEREFORE, BE IT RESOLVED, that the Board of Directors of the RTA hereby finds that the operating results of the entire regional public transportation system, through the second quarter of 2019, are substantially in accordance with the budget as adopted by the RTA Board on December 13, 2018.

BE IT FURTHER RESOLVED, that a copy of this resolution be submitted to the Governor, the Mayor of Chicago, and the Auditor General of Illinois, in accordance with Section 4.11(e) of the RTA Act.

To: Finance Committee

From: Leanne P. Redden, Executive Director

Date: August 14, 2019

Re: Ordinance authorizing a special amendment of the
2019-2023 Capital Program



**Regional
Transportation
Authority**

175 W. Jackson Blvd,
Suite 1650
Chicago, IL 60604
312-913-3200
rtachicago.org

Staff is requesting Board approval of one ordinance, which approves an amendment to the 2019-2023 Capital Program and incorporates a change in program revenue and expense for CTA. Metra and Pace Capital Programs remain unchanged.

Previous Actions

Date	Ordinance No.	Purpose
<u>06/20/2019</u>	<u>2019-27</u>	Amendment to the 2019-2023 Capital Program

2019-2023 Capital Program Funding Changes

The funding increase for the 2019 Capital Program is \$6.00M for CTA from Illinois Department of Transportation (IDOT) funds. The details of the funding increase are included in Capital Program Schedules II-A and II-B, attached to the ordinance.

As shown in the 2020-2023 change column, the program's total funds and sources of revenue remain unchanged in the out years.

2019 CTA Capital Program Funding Changes (in millions)	2019 Current	2019 Change	2019 Proposed	2020-23 Current	2020-23 Change	2020-23 Proposed
Federal Formula	\$322.25	\$ -	\$322.25	\$1218.11	\$ -	\$1218.11
Federal Discretionary/Federal Flexible	141.21	-	141.21	509.57	-	509.57
RTA	5.05	-	5.05	144.00	-	144.00
SB and Local Funds	1.11	-	1.11	1.22	-	1.22
SB Bond Proceeds	25.52	-	25.52	134.6	-	134.6
CTA Debt Repayment	(150.43)	-	(150.43)	(580.11)	-	(580.11)
Reprogrammed CTA Bonds (Includes GTT, RPM, and Planned)	126.74	-	126.74	270.62	-	270.62
Reprogrammed/Carryover State Bond Funds	2.87	6.00	8.87	-	-	-
Reprogrammed Other Funds	0.98	-	0.98	-	-	-
Total CTA Available:	\$475.30	\$6.00	\$481.30	\$1698.02	\$ -	\$1698.02

2019 Capital Program Amendment by Service Board and RTA (\$6.00M)

The proposed amendment to the 2019 Capital Program increases overall spending by \$6.00M. The table below summarizes 2019 Capital Program changes by Service Board and RTA.

2019 Capital Program Funding Changes (in millions)	2019 Current	2019 Change	2019 Proposed
CTA	\$475.3	\$6.00	\$481.3
Metra	371.56	-	371.56
Pace	108.88	-	108.88
RTA	0.25	-	0.25
2019 Capital Program Total:	\$955.99	\$6.00	\$961.99

2019 CTA Proposed Capital Program Amendment (\$6.00M)

CTA's proposed amendment is based on IDOT funds that will fund the following project:

- Irving Park Blue Line Station Escalators (\$6.00M)

2019 CTA Capital Program Funding Changes (in millions)	2019 Current	2019 Change	2019 Proposed
Federal Formula	\$322.25	\$ -	\$322.25
Federal Discretionary/Federal Flexible	141.21	-	141.21
RTA	5.05	-	5.05
SB and Local Funds	1.11	-	1.11
SB Bond Proceeds	25.52	-	25.52
CTA Debt Repayment	(150.43)	-	(150.43)
Reprogrammed CTA Bonds (Includes GTT, RPM, and Planned)	126.74	-	126.74
Reprogrammed/Carryover State Bond Funds	2.87	6.00	8.87
Reprogrammed Other Funds	0.98	-	0.98
Total CTA Available:	\$475.30	\$6.00	\$481.30

Actions Requested

Staff requests adoption of the ordinance amending the 2019-2023 Capital Program incorporating the changes detailed in Schedules II-A and II-B of the Capital Program included with the ordinance.

JL/LN/TJ/TPO/BL
Attachments.

ORDINANCE NO.

AMENDMENT TO THE 2019-2023 CAPITAL PROGRAM

WHEREAS, Section 2.01b of the Regional Transportation Authority Act (the "Act") requires the Regional Transportation Authority (the "Authority" or the "RTA"), to annually prepare the Five-Year Capital Program for the region;

WHEREAS, funding for the Five-Year Capital Program is based on an estimate of funds available for capital projects for 2019-2023 from all sources including federal-, state-, and Authority-funded programs and Service Board and non-Service Board agency-generated funds;

WHEREAS, the capital funding estimates are listed in the Five-Year Capital Program;

WHEREAS, a variety of changes have occurred with regard to the funds anticipated to be available for capital projects, the capital projects to be funded within the program, and the funding distribution for the capital projects; and

WHEREAS, pursuant to Section 4.01(h) of the Act, no Service Board shall undertake any capital improvement that is not identified in the Five-Year Capital Program.

NOW, THEREFORE, BE IT ORDAINED BY THE BOARD OF DIRECTORS OF THE REGIONAL TRANSPORTATION AUTHORITY that:

1. The amounts estimated to be available for capital projects for calendar years 2019-2023 set forth in Schedules II-A and II-B to Ordinance 2019-27 adopted on June 20, 2019, are hereby amended and restated as set forth in the attached revised Schedules II-A and II-B. Such estimates assume the appropriations of federal and state funding and the availability of resources of the Authority.
2. Nothing in this Ordinance is intended to or shall have the effect of waiving any discretion the RTA may have under law to review the determinations made in this Ordinance, including, but not limited to, allocation of federal funds among the Service Boards, or determining the allowable uses of federal, state or local funds.
3. All provisions of previously adopted ordinances and resolutions, including but not limited to Ordinance 2019-27 not expressly amended or repealed by this amendatory ordinance remain in full force and effect.
4. The Executive Director is hereby authorized and directed to inform each of the Service Boards of the amounts and purposes of available funding sources, and to take such action as the Executive Director deems necessary or appropriate to implement, administer and enforce this ordinance and make conforming changes to the 2019-2023 Capital Program.

August 22,2019
2019-XX

	2019	2020	2021	2022	2023	TOTAL
CTA						
FTA						
\$5307/\$5340 Urbanized Area Formula	\$ 128,355,943	\$ 131,406,873	\$ 132,868,942	\$ 134,347,223	\$ 135,841,951	\$ 662,820,931
\$5337 State of Good Repair Formula	171,413,409	155,787,013	157,368,286	158,965,610	160,579,147	804,113,465
\$5339 Bus and Bus Facilities	13,955,945	12,251,106	12,569,167	12,895,486	13,230,277	64,901,981
Reprogrammed Federal Formula Funds	8,529,516	0	0	0	0	8,529,516
Subtotal FTA	\$ 322,254,812	\$ 299,444,991	\$ 302,806,395	\$ 306,208,319	\$ 309,651,375	\$ 1,540,365,893
Federal-Flexible/Discretionary						
CMAQ	33,000,000	83,890,857	0	0	0	116,890,857
Sec. 5303 UWP Planning	746,800	420,000	420,000	420,000	420,000	2,426,800
Other - Transit Oriented Development Grant	1,465,940	0	0	0	0	1,465,940
Department of Homeland Security	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000	30,000,000
New Starts/Core Capacity	100,000,000	100,000,000	100,000,000	100,000,000	100,000,000	500,000,000
Subtotal Fed Flexible	141,212,740	190,310,857	106,420,000	106,420,000	106,420,000	650,783,597
Subtotal All Federal	\$ 463,467,552	\$ 489,755,848	\$ 409,226,395	\$ 412,628,319	\$ 416,071,375	\$ 2,191,149,490
State						
State Bond Funds	0	0	0	0	0	0
Reprogrammed/Carryover State Bond Funds	6,000,000	0	0	0	0	6,000,000
Reprogrammed SCIP Bond Funds	2,867,243	0	0	0	0	2,867,243
Subtotal State	\$ 8,867,243	\$ 0	\$ 0	\$ 0	\$ 0	8,867,243
RTA						
RTA Bonds	0	79,000,000	0	0	65,000,000	144,000,000
Reprogrammed ICE Funds	528,671	0	0	0	0	528,671
Reprogrammed RTA Bond Funds	3,680,784	0	0	0	0	3,680,784
Reprogrammed RTA Funds	835,641	0	0	0	0	835,641
Subtotal RTA	\$ 5,045,096	\$ 79,000,000	\$ 0	\$ 0	\$ 65,000,000	\$ 149,045,096
Service Board and Local Funds						
Other Service Board Funds	382,360	265,400	105,000	105,000	105,000	962,760
Illinois Long Range Transportation Funds	726,400	641,600	0	0	0	1,368,000
Service Board Bond Proceeds	25,520,547	63,108,937	71,489,615	0	0	160,119,099
Reprogrammed Other Local Funds	976,590	0	0	0	0	976,590
Reprogrammed CTA Bond Funds	7,119,748	0	0	0	0	7,119,748
Reprogrammed CTA Bond - Ground Transportation Tax	46,542,160	86,536,311	22,706,701	7,214,828	0	163,000,000
Reprogrammed CTA Bond Proceeds (RPM)	73,082,895	107,173,482	46,992,816	0	0	227,249,193
Subtotal SB/Local	\$ 154,350,700	\$ 257,725,730	\$ 141,294,132	\$ 7,319,828	\$ 105,000	560,795,390
Subtotal State/RTA/Local	\$ 168,263,039	\$ 336,725,730	\$ 141,294,132	\$ 7,319,828	\$ 65,105,000	718,707,729
TOTAL CTA FUNDING	\$ 631,730,591	\$ 826,481,578	\$ 550,520,527	\$ 419,948,147	\$ 481,176,375	\$ 2,909,857,219
Debt Repayment						
CTA Ground Transportation Tax Repayment (Short Term)	(8,552,999)	0	0	0	0	(8,552,999)
Subtotal Ground Transportation Tax Repayment	\$ (8,552,999)	\$ 0	\$ 0	\$ 0	\$ 0	(8,552,999)
CTA Debt Repayment Principal (\$5307/\$5340)	(31,585,000)	(41,410,000)	(22,980,000)	(24,125,000)	(25,350,000)	(145,450,000)
CTA Debt Repayment Principal (\$5309)	(30,650,000)	(32,230,000)	(47,940,000)	(50,365,000)	(52,930,000)	(214,115,000)
Subtotal Debt Repayment Principal	(62,235,000)	(73,640,000)	(70,920,000)	(74,490,000)	(78,280,000)	(359,565,000)
CTA Debt Repayment Interest (\$5307/\$5340)	(14,236,025)	(12,656,775)	(10,586,275)	(9,437,275)	(8,213,725)	(55,130,075)
CTA Debt Repayment Interest (\$5309)	(65,405,414)	(63,859,010)	(61,863,302)	(59,396,090)	(56,767,757)	(307,291,573)
Subtotal Debt Repayment Interest	(79,641,439)	(76,515,785)	(72,449,577)	(68,833,365)	(64,981,482)	(362,421,648)
Subtotal Debt Repayment	\$ (150,429,438)	\$ (150,155,785)	\$ (143,369,577)	\$ (143,323,365)	\$ (143,261,482)	\$ (730,539,647)
TOTAL CTA AVAILABLE	\$ 481,301,153	\$ 676,325,794	\$ 407,150,950	\$ 276,624,782	\$ 337,914,893	\$ 2,179,317,572

August 22, 2019

2019-XX

METRA

FTA

\$5307/\$5340 Urbanized Area Formula	\$ 83,424,210	\$ 84,205,148	\$ 85,243,017	\$ 86,293,642	\$ 87,357,217	\$ 426,523,235
\$5337 State of Good Repair Formula	100,483,722	91,323,421	92,250,375	93,186,737	94,132,607	471,376,861
Subtotal FTA	\$ 183,907,932	\$ 175,528,569	\$ 177,493,392	\$ 179,480,379	\$ 181,489,824	\$ 897,900,096

Federal-Flexible/Discretionary

Consolidated Rail Infrastructure and Safety Improvements (CRSI)	2,058,163	0	0	0	0	2,058,163
Volkswagen Clean Air Act Settlement Grant	14,000,000	0	0	0	0	14,000,000
CMAQ	44,800,000	0	306,000	0	0	45,106,000
Subtotal Fed Flexible	\$ 60,858,163	\$ 0	\$ 306,000	\$ 0	\$ 0	\$ 61,164,163
Subtotal Federal	\$ 244,766,095	\$ 175,528,569	\$ 177,799,392	\$ 179,480,379	\$ 181,489,824	\$ 959,064,259

State

State Bond Funds	0	0	0	0	0	0
Illinois DCEO Funds (Build Illinois)	15,000,000	0	0	0	0	15,000,000
Reprogrammed/Carryover State Bond Funds	20,000,000	0	0	0	0	20,000,000
Reprogrammed SCIP Bond Funds	110,699	0	0	0	0	110,699
Subtotal State	\$ 35,110,699	\$ 0	\$ 0	\$ 0	\$ 0	\$ 35,110,699

RTA

RTA ICE Funds	5,041,958	5,142,798	5,245,654	0	0	15,430,410
Reprogrammed ICE Funds	848,705	0	0	0	0	848,705
RTA Bonds	0	71,000,000	0	0	58,500,000	129,500,000
Reprogrammed RTA Bond Funds	42,000,000	0	0	0	0	42,000,000
Subtotal RTA	\$ 47,890,663	\$ 76,142,798	\$ 5,245,654	\$ 0	\$ 58,500,000	\$ 187,779,115

Service Board and Local Funds

Other Service Board Funds	43,712,177	20,000,000	20,000,000	20,000,000	20,000,000	123,712,177
Other Local Funds	85,000	0	0	0	0	85,000
Subtotal SB/Local	\$ 43,797,177	\$ 20,000,000	\$ 20,000,000	\$ 20,000,000	\$ 20,000,000	\$ 123,797,177
Subtotal State/RTA/Local	\$ 126,798,539	\$ 96,142,798	\$ 25,245,654	\$ 20,000,000	\$ 78,500,000	\$ 346,686,991

TOTAL METRA AVAILABLE

	\$ 371,564,634	\$ 271,671,367	\$ 203,045,046	\$ 199,480,379	\$ 259,989,824	\$ 1,305,751,250
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August 22, 2019

2019-XX

	<u>2019</u>	<u>2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>TOTAL</u>
PACE						
\$5307/\$5340 Urbanized Area Formula	\$ 41,347,497	\$ 39,612,844	\$ 40,032,542	\$ 40,456,670	\$ 40,885,292	\$ 202,334,846
\$5339 Bus and Bus Facilities	1,924,958	1,689,806	1,733,675	1,778,684	1,824,861	8,951,984
Reprogrammed Federal Formula Funds	352,745	0	0	0	0	352,745
Subtotal FTA	\$ 43,625,200	\$ 41,302,650	\$ 41,766,217	\$ 42,235,354	\$ 42,710,153	\$ 211,639,575
Federal-Flexible/Discretionary						
Volkswagen Clean Air Act Settlement Grant	2,307,690	0	0	0	0	2,307,690
CMAQ	7,520,000	0	10,040,512	0	0	17,560,512
Subtotal Fed Flexible	\$ 9,827,690	\$ 0	\$ 10,040,512	\$ 0	\$ 0	\$ 19,868,202
Subtotal Federal	\$ 53,452,890	\$ 41,302,650	\$ 51,806,729	\$ 42,235,354	\$ 42,710,153	\$ 231,507,777
State						
State Bond Funds	0	0	0	0	0	0
Reprogrammed SCIP Bond Funds	985,364	0	0	0	0	985,364
Subtotal State	\$ 985,364	\$ 0	\$ 0	\$ 0	\$ 0	\$ 985,364
RTA						
RTA Bonds	0	7,900,000	0	0	6,500,000	14,400,000
Reprogrammed RTA Bond Funds	3,645,371	0	0	0	0	3,645,371
Reprogrammed RTA Funds	492,266	0	0	0	0	492,266
Subtotal RTA	\$ 4,137,637	\$ 7,900,000	\$ 0	\$ 0	\$ 6,500,000	\$ 18,537,637
Service Board and Local Funds						
Positive Budget Variance	3,502,110	250,000	250,000	250,000	250,000	4,502,110
Service Board Bond Proceeds	46,800,000	0	0	0	0	46,800,000
Subtotal SB/Local	\$ 50,302,110	\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 51,302,110
Subtotal State/RTA/Local	\$ 55,425,111	\$ 8,150,000	\$ 250,000	\$ 250,000	\$ 6,750,000	\$ 70,825,111
TOTAL PACE AVAILABLE	\$ 108,878,001	\$ 49,452,650	\$ 52,056,729	\$ 42,485,354	\$ 49,460,153	\$ 302,332,888

August 22, 2019
2019-XX

	<u>2019</u>	<u>2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>TOTAL</u>
RTA						
RTA						
RTA Funds	\$ 250,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 250,000
Subtotal RTA	<u>\$ 250,000</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 250,000</u>
TOTAL RTA AVAILABLE	<u>\$ 250,000</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 250,000</u>

August 22, 2019

2019-XX

	<u>2019</u>	<u>2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>TOTAL</u>
REGIONAL TOTAL						
FTA						
\$5307/\$5340 Urbanized Area Formula	\$ 253,127,650	\$ 255,224,866	\$ 258,144,501	\$ 261,097,535	\$ 264,084,460	\$ 1,291,679,012
\$5337 State of Good Repair Formula	271,897,131	247,110,434	249,618,661	252,152,347	254,711,754	1,275,490,326
\$5339 Bus and Bus Facilities	15,880,903	13,940,911	14,302,842	14,674,170	15,055,138	73,853,965
Reprogrammed Federal Formula Funds	8,882,261	0	0	0	0	8,882,261
Subtotal FTA	\$ 549,787,945	\$ 516,276,211	\$ 522,066,004	\$ 527,924,052	\$ 533,851,352	\$ 2,649,905,564
Federal-Flexible/Discretionary						
Consolidated Rail Infrastructure and Safety Improvements (CRSI)	2,058,163	0	0	0	0	2,058,163
Volkswagen Clean Air Act Settlement Grant	16,307,690	0	0	0	0	16,307,690
Other - Transit Oriented Development Grant	1,465,940	0	0	0	0	1,465,940
CMAQ	85,320,000	83,890,857	10,346,512	0	0	179,557,369
Sec. 5303 UWP Planning	746,800	420,000	420,000	420,000	420,000	2,426,800
Department of Homeland Security	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000	30,000,000
New Starts/Core Capacity	100,000,000	100,000,000	100,000,000	100,000,000	100,000,000	500,000,000
Subtotal Fed Flexible	\$ 211,898,593	\$ 190,310,857	\$ 116,766,512	\$ 106,420,000	\$ 106,420,000	\$ 731,815,962
SUBTOTAL FEDERAL	\$ 761,686,538	\$ 706,587,068	\$ 638,832,516	\$ 634,344,052	\$ 640,271,352	\$ 3,381,721,526
State						
State Bond Funds	0	0	0	0	0	0
Illinois DCEO Funds (Build Illinois)	15,000,000	0	0	0	0	15,000,000
Reprogrammed/Carryover State Bond Funds	26,000,000	0	0	0	0	26,000,000
Reprogrammed SCIP Bond Funds	3,963,306	0	0	0	0	3,963,306
Subtotal State	\$ 44,963,306	\$ 0	\$ 0	\$ 0	\$ 0	\$ 44,963,306
RTA						
RTA ICE Funds	5,041,958	5,142,798	5,245,654	0	0	15,430,410
Reprogrammed ICE Funds	1,377,376	0	0	0	0	1,377,376
RTA Funds	250,000	0	0	0	0	250,000
RTA Bonds	0	157,900,000	0	0	130,000,000	287,900,000
Reprogrammed RTA Bond Funds	49,326,155	0	0	0	0	49,326,155
Reprogrammed RTA Funds	1,327,907	0	0	0	0	1,327,907
Subtotal RTA Funding	\$ 57,323,396	\$ 163,042,798	\$ 5,245,654	\$ 0	\$ 130,000,000	\$ 355,611,848
Service Board and Local Funds						
Positive Budget Variance	3,502,110	250,000	250,000	250,000	250,000	4,502,110
Other Service Board Funds	44,094,537	20,265,400	20,105,000	20,105,000	20,105,000	124,674,937
Other Local Funds	85,000	0	0	0	0	85,000
Illinois Long Range Transportation Funds	726,400	641,600	0	0	0	1,368,000
Service Board Bond Proceeds	72,320,547	63,108,937	71,489,615	0	0	206,919,099
Reprogrammed Other Local Funds	976,590	0	0	0	0	976,590
Reprogrammed CTA Bond Funds	7,119,748	0	0	0	0	7,119,748
Reprogrammed CTA Bond - Ground Transportation Tax	46,542,160	86,536,311	22,706,701	7,214,828	0	163,000,000
Reprogrammed CTA Bond Proceeds (RPM)	73,082,895	107,173,482	46,992,816	0	0	227,249,193
Subtotal SB/Local	\$ 248,449,987	\$ 277,975,730	\$ 161,544,132	\$ 27,569,828	\$ 20,355,000	\$ 735,894,677
SUBTOTAL State/RTA/Local	\$ 350,736,689	\$ 441,018,528	\$ 166,789,786	\$ 27,569,828	\$ 150,355,000	\$ 1,136,469,831
TOTAL REGIONAL FUNDING	\$ 1,112,423,227	\$ 1,147,605,596	\$ 805,622,302	\$ 661,913,880	\$ 790,626,352	\$ 4,518,191,356
Debt Repayment						
CTA Ground Transportation Tax Repayment (Short Term)	(8,552,999)	0	0	0	0	(8,552,999)
Subtotal Ground Transportation Tax Repayment	\$ (8,552,999)	\$ 0	\$ 0	\$ 0	\$ 0	(8,552,999)
CTA Debt Repayment Principal (\$5307/\$5340)	(31,585,000)	(41,410,000)	(22,980,000)	(24,125,000)	(25,350,000)	(145,450,000)
CTA Debt Repayment Principal (\$5309)	(30,650,000)	(32,230,000)	(47,940,000)	(50,365,000)	(52,930,000)	(214,115,000)
Subtotal Debt Repayment Principal	(62,235,000)	(73,640,000)	(70,920,000)	(74,490,000)	(78,280,000)	(359,565,000)
CTA Debt Repayment Interest (\$5307/\$5340)	(14,236,025)	(12,656,775)	(10,586,275)	(9,437,275)	(8,213,725)	(55,130,075)
CTA Debt Repayment Interest (\$5309)	(65,405,414)	(63,859,010)	(61,863,302)	(59,396,090)	(56,767,757)	(307,291,573)
Subtotal Debt Repayment Interest	(79,641,439)	(76,515,785)	(72,449,577)	(68,833,365)	(64,981,482)	(362,421,648)
Subtotal Debt Service	\$ (150,429,438)	\$ (150,155,785)	\$ (143,369,577)	\$ (143,323,365)	\$ (143,261,482)	(730,539,647)
TOTAL REGIONAL AVAILABLE	\$ 961,993,789	\$ 997,449,811	\$ 662,252,725	\$ 518,590,515	\$ 647,364,870	\$ 3,787,651,710

Proposed Changes to RTA 2019 Capital Program

2019-XX August 22, 2019

SCHEDULE II B

			Current		Proposed		Proposed vs.					
			Source	Budget	Source	Budget	Current	2020	2021	2022	2023	Total
CTA												
Bus												
1 Rolling Stock												
021.500	021.803	16-12-0009 -- Perform Bus Maintenance Activities (P1)	5307 Tollway	29,224,775	NC: NC	29,224,775	0	0	0	0	0	29,224,775
021.500	021.803	16-12-0009 -- Perform Bus Maintenance Activities (P1)	5339 Tollway	10,775,225	NC: NC	10,775,225	0	2,300,000	2,300,000	2,300,000	2,200,000	19,875,225
021.503	021.806	16-17-0001 -- Life Extending Overhaul - 430 Standard (1000 Series)	5307 Tollway	0	NC: NC	0	0	0	11,243,281	15,815,340	5,219,779	32,278,400
021.503	021.806	16-17-0001 -- Life Extending Overhaul - 430 Standard (1000 Series)	5339 Tollway	0	NC: NC	0	0	0	10,095,712	0	10,878,129	20,973,841
021.504	021.806	16-17-0001 -- Mid-Life Bus Overhaul - 100 Artic (4300/4333 Series)	5307 Tollway	0	NC: NC	0	0	9,279,155	0	0	0	9,279,155
021.504	021.806	16-17-0001 -- Mid-Life Bus Overhaul - 100 Artic (4300/4333 Series)	5339 Tollway	0	NC: NC	0	0	9,828,595	0	0	0	9,828,595
031.054	031.054	-- Replace Remaining 6400 Series	5339 Tollway	3,048,139	NC: NC	3,048,139	0	0	0	0	0	3,048,139
031.054	031.054	-- Replace Remaining 6400 Series Buses	5307 Tollway	4,323,482	NC: NC	4,323,482	0	0	0	0	0	4,323,482
031.502	031.054	16-14-0001 -- Replace Buses - Electric (20 Base_25 Option) w Charger (5 Base_8 Option)	CMAQ Tollway	7,924,000	NC: NC	7,924,000	0	0	0	0	0	7,924,000
031.503	031.054	16-96-0061 -- Replace Buses - (150 of 1,030 New Flyers)	5307 Tollway	0	NC: NC	0	0	0	0	8,722,068	66,188,215	74,910,283
031.503	031.054	16-96-0061 -- Replace Buses - (150 of 1,030 New Flyers)	5339 Tollway	0	NC: NC	0	0	0	0	10,417,528	0	10,417,528
031.503	031.054	16-96-0061 -- Replace Buses - (150 of 1,030 New Flyers)	100% Bond	0	NC: NC	0	0	0	0	0	6,261,589	6,261,589
031.504	031.051	16-96-0061 -- Replace Buses - Nova Option 2 (remaining 25 buses)	5307 Tollway	0	NC: NC	0	0	0	0	0	0	0
031.504	031.054	16-96-0061 -- Replace Buses - Artic Hybrid - Lease Interest	5307 Tollway	105,440	NC: NC	105,440	0	0	0	0	0	105,440
031.504	031.054	16-96-0061 -- Replace Buses - Artic Hybrid - Lease Principal	5307 Tollway	6,437,273	NC: NC	6,437,273	0	0	0	0	0	6,437,273

			Current		Proposed		Proposed vs.					Total
			Source	Budget	Source	Budget	Current	2020	2021	2022	2023	
031.504	031.054	16-96-0061 -- Replace Buses - NF Option 4 - Lease Interest	5307 Tollway	265,417	NC: NC	265,417	0	53,664	0	0	0	319,081
031.504	031.054	16-96-0061 -- Replace Buses - NF Option 4 - Lease Principal	5307 Tollway	7,646,283	NC: NC	7,646,283	0	3,902,186	0	0	0	11,548,469
031.505	031.054	16-96-0061 -- Replace Remaining (6400 Series) 50 Base/Plus 50 Option - Standard	100% CTA Bond	0	NC: NC	0	0	35,604,546	0	0	0	35,604,546
Total 1 Rolling Stock				69,750,034		69,750,034	0	60,968,146	23,638,993	37,254,936	90,747,712	282,359,821
<u>11 Modernization</u>												
404.502	404.502	16-13-0005 -- Ashland Av Transit Signal Priority and Signal Modernization-Irving Park Rd to Cermak Rd - CMAQ	CMAQ Ser. Bd.	0	NC: NC	0	0	8,801,948	0	0	0	8,801,948
Total 11 Modernization				0		0	0	8,801,948	0	0	0	8,801,948
Total				69,750,034		69,750,034	0	69,770,094	23,638,993	37,254,936	90,747,712	291,161,769
<u>Rail</u>												
<u>1 Rolling Stock</u>												
022.503	022.906	16-12-0010 -- Perform Rail Car Maintenance Activities (P1)	5337 Tollway	2,986,698	NC: NC	2,986,698	0	2,200,000	0	2,200,000	2,200,000	9,586,698
022.503	022.906	16-12-0010 -- Perform Rail Car Maintenance Activities (P1)	5307 Tollway	40,000,000	NC: NC	40,000,000	0	0	2,200,000	0	0	42,200,000
022.504	022.903	16-17-0002 -- 5000 Series Qtr Overhaul - Base of 283 cars	100% Bond	0	NC: NC	0	0	16,202,454	0	0	0	16,202,454
022.504	022.903	16-17-0002 -- 5000 Series Qtr Overhaul - Base of 283 cars	100% CTA Bond	3,621,674	NC: NC	3,621,674	0	5,175,271	5,400,000	0	0	14,196,945
022.504	022.903	16-17-0002 -- 5000 Series Qtr Overhaul - Base of 283 cars	5307 Tollway	0	NC: NC	0	0	3,508,197	23,665,601	0	0	27,173,798
022.504	022.903	16-17-0002 -- 5000 Series Qtr Overhaul - Base of 283 cars	100% Bond	0	NC: NC	0	0	0	0	0	31,365,911	31,365,911
022.504	022.903	16-17-0002 -- 5000 Series Qtr Overhaul - Base of 283 cars	5337 Tollway	0	NC: NC	0	0	13,446,442	9,725,837	0	42,884,730	66,057,009
022.504	022.903	-- Perform Rail Car Overhaul	100% Bond	3,680,784	NC: NC	3,680,784	0	0	0	0	0	3,680,784
022.504	022.903	-- Perform Rail Car Overhaul	100% SCIP 2	2,867,243	NC: NC	2,867,243	0	0	0	0	0	2,867,243
022.505	022.903	16-17-0002 -- 5000 Series Qtr Overhaul - Critical Needs	100% CTA Bond	10,695,127	NC: NC	10,695,127	0	0	0	0	0	10,695,127
022.506	022.906	16-12-0010 -- Rail Car Activities - Traction Motor & Armatures Phase II	100% CTA Bond	0	NC: NC	0	0	0	0	0	0	0
022.508	022.508	-- Perform Rail Car Activities - Install Rail Car Simulator	100% Ser. Bd.	976,590	NC: NC	976,590	0	0	0	0	0	976,590
022.906	022.510	-- Perform Rail Car Maintenance Activities	100% CTA Bond	4,655,406	NC: NC	4,655,406	0	0	0	0	0	4,655,406

			Current		Proposed		Proposed vs.					Total
			Source	Budget	Source	Budget	Current	2020	2021	2022	2023	
022.906	022.511	-- Rail Car Activities Phase II Propulsion Kits (2600 Series)	5337 Tollway	8,076,201	NC: NC	8,076,201	0	0	0	0	0	8,076,201
132.501	132.056	16-15-0005 -- Purchase Rail Cars - 7000' Series (Base Order 400)	5307 Tollway	0	NC: NC	0	0	28,015,652	45,864,031	59,900,717	0	133,780,400
132.501	132.056	16-15-0005 -- Purchase Rail Cars - 7000' Series (Base Order 400)	100% CTA Bond	0	NC: NC	0	0	8,093,945	4,169,608	0	0	12,263,553
132.501	132.056	16-15-0005 -- Purchase Rail Cars - 7000' Series (Base Order 400)	5337 Tollway	0	NC: NC	0	0	30,643,691	3,079,965	12,223,295	0	45,946,951
Total 1 Rolling Stock				77,559,723		77,559,723	0	107,285,652	94,105,042	74,324,012	76,450,641	429,725,070
<u>11 Modernization</u>												
079.502	195.027	16-14-0007 -- Rehabilitate Blue Line - Kimball Subway Waterproofing and Track includes TOD	100% Bond	0	NC: NC	0	0	1,975,000	0	0	0	1,975,000
121.509	195.027	16-14-0007 -- Rehabilitate Blue Line -(Jeff Park to ORD) Signals (Phase 5)	100% CTA Bond	0	NC: NC	0	0	0	11,330,879	0	0	11,330,879
141.273	195.027	16-14-0007 -- Rehabilitate Blue Line - Contingencies	100% Bond	0	NC: NC	0	0	4,659,548	0	0	0	4,659,548
141.273	195.027	16-14-0007 -- Rehabilitate Blue Line - Grand, Chicago and Division Station Renovation (Phase 4)	100% Bond	0	NC: NC	0	0	3,978,519	0	0	0	3,978,519
170.501	195.027	16-14-0007 -- Rehabilitate Blue Line- Harlem Station Bus Bridge	100% Bond	0	NC: NC	0	0	9,875,000	0	0	0	9,875,000
302.901	304.004	16-10-9001 -- NML - Red/Purple Modernization - Support Service CMAQ	CMAQ Ser. Bd.	153,125	NC: NC	153,125	0	459,375	0	0	0	612,500
302.901	304.004	16-10-9001 -- NML - Red/Purple Modernization (FTA Core Capacity) - Support Service	5309C Tollway	612,500	NC: NC	612,500	0	612,500	612,500	612,500	612,500	3,062,500
302.901	304.004	16-10-9001 -- NML - Red/Purple Modernization -Support Service CTA Bond RPM	100% RPM Operating Funds	447,633	NC: NC	447,633	0	656,438	287,831	0	0	1,391,902
304.004	304.004	16-10-9001 -- NML - Red/Purple Modernization	100% RPM Operating Funds	72,635,262	NC: NC	72,635,262	0	106,517,044	46,704,985	0	0	225,857,291
304.004	304.004	16-10-9001 -- NML - Red/Purple Modernization	CMAQ Ser. Bd.	24,846,875	NC: NC	24,846,875	0	74,540,625	0	0	0	99,387,500
304.004	304.004	16-10-9001 -- NML - Red/Purple Modernization (FTA Core Capacity)	5309C Tollway	99,387,500	NC: NC	99,387,500	0	99,387,500	99,387,500	99,387,500	99,387,500	496,937,500

			<u>Current</u>		<u>Proposed</u>		<u>Proposed vs.</u>					
			<u>Source</u>	<u>Budget</u>	<u>Source</u>	<u>Budget</u>	<u>Current</u>	<u>2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>Total</u>
406.029	406.500	-- NML- Red Purple Modernization Core Capacity Expansion Program- UWP	Other Fed. Ser. Bd.	404,619	NC: NC	404,619	0	0	0	0	0	404,619
Total 11 Modernization				198,487,514		198,487,514	0	302,661,549	158,323,695	100,000,000	100,000,000	859,472,758
<u>2 Track & Structure</u>												
181.500	184.500	-- Elevated Track & Structure Safety Renewal	5307 Tollway	7,043,013	NC: NC	7,043,013	0	0	0	0	0	7,043,013
181.500	184.500	-- Elevated Track & Structure Safety Renewal	5337 Tollway	1,486,503	NC: NC	1,486,503	0	0	0	0	0	1,486,503
184.500	181.500	16-98-0015 -- Elevated Track and Structure Systemwide	5337 Tollway	10,000,000	NC: NC	10,000,000	0	0	14,812,500	14,812,500	0	39,625,000
184.500	181.500	16-98-0015 -- Elevated Track and Structure Systemwide	5307 Tollway	14,812,500	NC: NC	14,812,500	0	14,812,500	0	0	14,812,500	44,437,500
184.512	181.500	16-98-0015 -- Green Line South - Track Improvements	100% CTA Bond Trans. Tax	9,866,131	NC: NC	9,866,131	0	22,462,923	5,871,536	1,865,622	0	40,066,212
184.513	181.500	16-98-0015 -- Green & Pink Line West - Track Improvements	100% CTA Bond Trans. Tax	4,981,982	NC: NC	4,981,982	0	11,342,833	2,964,879	942,061	0	20,231,755
184.514	181.500	16-98-0015 -- Red & Blue Line Subway - Track Improvements	100% CTA Bond Trans. Tax	5,237,854	NC: NC	5,237,854	0	11,925,396	3,117,154	990,445	0	21,270,849
184.515	181.500	16-98-0015 -- Brown Line - Track Improvements	100% CTA Bond Trans. Tax	4,142,872	NC: NC	4,142,872	0	9,432,371	2,465,508	783,390	0	16,824,141
184.516	181.500	16-98-0015 -- Congress Blue Line - P1 Track	100% CTA Bond Trans. Tax	974,572	NC: NC	974,572	0	2,218,878	579,988	184,285	0	3,957,723
Total 2 Track & Structure				58,545,427		58,545,427	0	72,194,901	29,811,565	19,578,303	14,812,500	194,942,696
<u>3 Electrical, Signal, & Communications</u>												
121.506	121.500	16-00-0030 -- Tactical Traction Power (Equipment/Cable/Enclosures)	100% CTA Bond	0	NC: NC	0	0	4,196,875	4,196,875	0	0	8,393,750
121.510	121.500	16-00-0030 -- Blue Line O'Hare Branch Traction Power Improvements	100% CTA Bond Trans. Tax	5,994,183	NC: NC	5,994,183	0	13,647,382	3,567,261	1,133,461	0	24,342,287
Total 3 Electrical, Signal, & Communications				5,994,183		5,994,183	0	17,844,257	7,764,136	1,133,461	0	32,736,037
<u>4 Support Facilities & Equipment</u>												
070.504	073.500	16-17-0006 -- Replace Non-Revenue 61st Rail Shop	100% Bond	0	NC: NC	0	0	29,625,000	0	0	0	29,625,000
070.504	073.500	16-17-0006 -- Replace Non-Revenue 61st Rail Shop	100% Bond	0	NC: NC	0	0	0	0	0	26,625,000	26,625,000
070.504	073.500	16-17-0006 -- Replace Non-Revenue 61st Rail Shop	100% CTA Bond	0	NC: NC	0	0	0	9,875,000	0	0	9,875,000
070.510	073.500	16-99-0002 -- Rail Facilities (Yards) - SOGR II	100% Bond	0	NC: NC	0	0	10,959,423	0	0	0	10,959,423

			Current		Proposed		Proposed vs.					
			Source	Budget	Source	Budget	Current	2020	2021	2022	2023	Total
070.510	073.500	16-99-0002 -- Rail Facilites (Yards) - SOGR II	5307 Tollway	0	NC: NC	0	0	1,958,137	0	0	0	1,958,137
070.510	073.500	16-99-0002 -- Rail Facilites (Yards) - SOGR II	100% CTA Bond	3,579,189	NC: NC	3,579,189	0	1,357,811	0	0	0	4,937,000
070.510	073.500	16-99-0002 -- Rail Facilites (Yards) - SOGR II	5337 Tollway	0	NC: NC	0	0	0	3,950,000	3,950,000	3,950,000	11,850,000
070.512	073.500	-- Improve Facilities - 74th Garage	100% CTA Bond	2,464,342	NC: NC	2,464,342	0	0	0	0	0	2,464,342
Total 4 Support Facilities & Equipment				6,043,531		6,043,531	0	43,900,371	13,825,000	3,950,000	30,575,000	98,293,902
5 Stations & Passenger Facilities												
0371	0371	16-02-0004 -- Irving Park Station Escalators (Blue Line - YNB)		0	100%: IDOT	6,000,000	6,000,000	0	0	0	0	6,000,000
143.500	141.273	16-02-0004 -- Rehabilitate Rail Stations - Systemwide	5337 Tollway	0	NC: NC	0	0	1,975,000	5,925,000	5,925,000	0	13,825,000
143.514	141.273	16-99-002 -- Station Security Enhancements	100% CTA Bond Trans. Tax	2,923,716	NC: NC	2,923,716	0	6,656,633	1,739,963	552,856	0	11,873,168
Total 5 Stations & Passenger Facilities				2,923,716		8,923,716	6,000,000	8,631,633	7,664,963	6,477,856	0	31,698,168
6 Miscellaneous												
406.024	406.500	-- Blue Line Core Capacity Study 3 Range Funds Ser. Bd.		404,124	NC: NC	404,124	0	323,730	0	0	0	727,854
Total 6 Miscellaneous				404,124		404,124	0	323,730	0	0	0	727,854
7 Extensions												
254.001	254.001	01-94-0006 -- Red Line Extension - Planning, Preliminary Engineering	100% CTA Bond	0	NC: NC	0	0	8,049,400	32,197,602	0	0	40,247,002
254.001	254.001	-- Red Line Extension - TOD Planning and Engineering	Other Fed. Ser. Bd.	1,480,000	NC: NC	1,480,000	0	0	0	0	0	1,480,000
Total 7 Extensions				1,480,000		1,480,000	0	8,049,400	32,197,602	0	0	41,727,002
8 Contingencies & Administration												
302.287	310.001	-- Support Services for Fast Tracks Program - Long Term	100% CTA Bond Trans. Tax	360,897	NC: NC	360,897	0	865,363	313,352	99,565	0	1,639,177
Total 8 Contingencies & Administration				360,897		360,897	0	865,363	313,352	99,565	0	1,639,177
Total				351,799,116		357,799,116	6,000,000	561,756,856	344,005,355	205,563,197	221,838,141	1,690,962,665
System												
3 Electrical, Signal, & Communications												
0187	0187	-- Software/Hardware Enhancements	100% ICE	528,671	NC: NC	528,671	0	0	0	0	0	528,671
150.502	150.028	16-03-0011 -- Systemwide Security Cameras Improvements (Safe & Secure)	100% CTA Bond Trans. Tax	3,506,954	NC: NC	3,506,954	0	7,984,532	2,087,060	663,143	0	14,241,689

			Current		Proposed		Proposed vs.					
			Source	Budget	Source	Budget	Current	2020	2021	2022	2023	Total
Total 3 Electrical, Signal, & Communications				4,035,625		4,035,625	0	7,984,532	2,087,060	663,143	0	14,770,360
4 Support Facilities & Equipment												
061.500	061.059	16-98-0003 -- Upgrade Office Computer Systems	5307 Tollway	0	NC: NC	0	0	1,300,000	1,300,000	1,300,000	1,300,000	5,200,000
061.501	061.059	16-98-0003 -- Upgrade\Support IT & Communication Systems	5307 Tollway	0	NC: NC	0	0	400,000	400,000	400,000	400,000	1,600,000
062.029	061.059	16-98-0003 -- Asset Management & Range Funds Ser. Bd. System Enhancements		495,250	NC: NC	495,250	0	470,250	0	0	0	965,500
070.500	073.500	16-99-0002 -- Office Building - Interest	5307 Tollway	3,122,413	NC: NC	3,122,413	0	2,965,163	2,799,788	2,621,456	2,429,175	13,937,995
070.500	073.500	16-99-0002 -- Office Building - Principal	5307 Tollway	3,065,000	NC: NC	3,065,000	0	3,225,000	3,390,000	3,565,000	3,760,000	17,005,000
070.503	073.500	16-99-0002 -- Facilities Maintenance	5307 Tollway	7,758,726	NC: NC	7,758,726	0	0	0	0	0	7,758,726
070.503	073.500	16-99-0002 -- Facilities Maintenance	5337 Tollway	11,207,021	NC: NC	11,207,021	0	7,900,000	7,900,000	7,900,000	0	34,907,021
070.505	073.500	16-99-0002 -- Facilities Maintenance	100% CTA Bond	4,913,362	NC: NC	4,913,362	0	0	0	0	0	4,913,362
070.511	073.500	16-99-0002 -- Facilities (Critical Needs) - SOGR II	100% Bond	0	NC: NC	0	0	461,891	0	0	0	461,891
070.511	073.500	16-99-0002 -- Facilities (Critical Needs) - SOGR II	5337 Tollway	0	NC: NC	0	0	1,975,000	0	0	0	1,975,000
070.511	073.500	16-99-0002 -- Facilities (Critical Needs) - SOGR II	100% CTA Bond	2,468,750	NC: NC	2,468,750	0	0	0	0	0	2,468,750
086.503	086.500	16-13-0003 -- Equipment and Non-Revenue Vehicles (Diesel Locomotives)	100% Bond	0	NC: NC	0	0	473,165	0	0	0	473,165
086.503	086.500	16-13-0003 -- Equipment and Non-Revenue Vehicles (Diesel Locomotives)	100% CTA Bond	0	NC: NC	0	0	0	3,333,095	0	0	3,333,095
Total 4 Support Facilities & Equipment				33,030,522		33,030,522	0	19,170,469	19,122,883	15,786,456	7,889,175	94,999,505
6 Miscellaneous												
150.500	150.028	16-03-0011 -- Implement Security Projects - HLS Program	100% Other	5,943,000	NC: NC	5,943,000	0	5,940,000	5,917,200	5,917,200	5,931,000	29,648,400
292.002	292.002	-- Unanticipated Capital	100% Discr.	835,641	NC: NC	835,641	0	0	0	0	0	835,641
Total 6 Miscellaneous				6,778,641		6,778,641	0	5,940,000	5,917,200	5,917,200	5,931,000	30,484,041
8 Contingencies & Administration												
302.088	310.001	-- Support Service for 5307	5307 Tollway	1,219,381	NC: NC	1,219,381	0	1,314,069	1,833,591	1,853,992	1,562,182	7,783,215
302.089	310.001	-- Support Service for 5337	5337 Tollway	1,628,427	NC: NC	1,628,427	0	1,557,870	2,171,682	2,193,725	1,846,660	9,398,364
302.090	310.001	-- Support Service for 5339	5339 Tollway	132,581	NC: NC	132,581	0	122,511	173,455	177,958	152,148	758,653
302.092	310.001	-- Support Service for CMAQ	CMAQ Ser. Bd.	76,000	NC: NC	76,000	0	88,909	0	0	0	164,909

				Current		Proposed		Proposed vs.					
				Source	Budget	Source	Budget	Current	2020	2021	2022	2023	Total
302.093	310.001	-- Support Service for HLS	Other Fed. Other		57,000	NC: NC	57,000	0	60,000	82,800	82,800	69,000	351,600
302.095	310.001	-- Support Service for RTA Bonds	100% Bond		0	NC: NC	0	0	0	0	0	747,500	747,500
302.095	310.001	-- Support Service for RTA Bonds	100% Bond		0	NC: NC	0	0	790,000	0	0	0	790,000
302.096	310.001	-- Support Services for CTA Bond	100% CTA Bond		242,445	NC: NC	242,445	0	631,089	986,556	0	0	1,860,090
302.097	310.001	-- Support Service for UWP	Other Fed. Ser. Bd.		3,881	NC: NC	3,881	0	0	0	0	0	3,881
302.098	310.001	-- Support Service for ILRTP	Range Funds Ser. Bd.		8,626	NC: NC	8,626	0	8,020	0	0	0	16,646
306.001	310.001	16-01-0008 -- Program Management	5307 Tollway		4,554,227	NC: NC	4,554,227	0	6,606,375	6,606,375	6,606,375	6,606,375	30,979,727
306.001	310.001	16-01-0008 -- Program Management	5337 Tollway		1,459,648	NC: NC	1,459,648	0	0	0	0	0	1,459,648
406.003	310.001	-- Program Development - UWP	Other Fed. Ser. Bd.		525,000	NC: NC	525,000	0	525,000	525,000	525,000	525,000	2,625,000
Total 8 Contingencies & Administration					9,907,216		9,907,216	0	11,703,843	12,379,459	11,439,850	11,508,865	56,939,233
Total					53,752,004		53,752,004	0	44,798,844	39,506,602	33,806,649	25,329,040	197,193,139
Total CTA					475,301,153		481,301,153	6,000,000	676,325,794	407,150,950	276,624,782	337,914,893	2,179,317,572

		Current		Proposed		Proposed vs.							
		Source	Budget	Source	Budget	Current	2020	2021	2022	2023	Total		
Metra													
Rail													
1 Rolling Stock													
5006	5006	-- NEW GALLERY CARS PURCHASE	100% Bond		0	NC: NC	0	0	0	0	58,500,000	58,500,000	
5006	5006	-- NEW GALLERY CARS PURCHASE	Formula Fnds Tollway		2,700,000	NC: NC	2,700,000	0	0	36,500,000	20,600,000	23,400,000	83,200,000
5006	5006	-- NEW GALLERY CARS PURCHASE	100% Bond		0	NC: NC	0	0	34,000,000	0	0	0	34,000,000
5009	5009	-- CAR REHAB (NIPPON SHARYO HIGHLINERS)	Formula Fnds Tollway		0	NC: NC	0	0	16,164,000	10,614,000	14,000,000	10,850,000	51,628,000
5010	5010	-- CAR AND LOCOMOTIVE CAMERAS	Formula Fnds Tollway		2,500,000	NC: NC	2,500,000	0	2,500,000	2,500,000	2,000,000	2,000,000	11,500,000
5109	5109	-- LOCOMOTIVE PURCHASE	100% Ser. Bd.		0	NC: NC	0	0	0	16,139,000	0	0	16,139,000
5109	5109	-- LOCOMOTIVE PURCHASE	CMAQ Ser. Bd.		0	NC: NC	0	0	11,200,000	0	0	0	11,200,000
5109	5109	-- LOCOMOTIVE PURCHASE	Formula Fnds Tollway		2,000,000	NC: NC	2,000,000	0	21,000,000	0	33,000,000	35,380,000	91,380,000
5109	5109	-- Locomotive Purchase	100% Ser. Bd.		36,500,000	NC: NC	36,500,000	0	0	0	0	0	36,500,000
5109	5109	-- Locomotive Purchase	CMAQ Tollway		44,800,000	NC: NC	44,800,000	0	0	0	0	0	44,800,000
5204	5204	-- LOCOMOTIVE REBUILD 100-149,215,216	Formula Fnds Tollway		8,000,000	NC: NC	8,000,000	0	10,150,000	7,635,000	5,050,000	0	30,835,000
5207	5207	-- CAR REHAB (NIPPON SHARYO P-3)	Formula Fnds Tollway		12,100,000	NC: NC	12,100,000	0	4,375,000	0	15,000,000	0	31,475,000
5301	5301	-- TRACTION MOTORS	Formula Fnds Tollway		2,000,000	NC: NC	2,000,000	0	2,000,000	1,800,000	1,800,000	1,800,000	9,400,000
5302	5302	-- Locomotive and Rail Car Improvements	Formula Fnds Tollway		2,000,000	NC: NC	2,000,000	0	2,000,000	2,000,000	2,000,000	2,000,000	10,000,000
5303	5303	-- WHEEL REPLACEMENT	Formula Fnds Tollway		3,000,000	NC: NC	3,000,000	0	3,000,000	3,000,000	3,000,000	3,000,000	15,000,000
5307	5307	-- CAR REHAB (NIPPON SHARYO P-4)	Formula Fnds Tollway		0	NC: NC	0	0	10,000,000	12,850,000	3,000,000	0	25,850,000
5309	5309	-- New Locomotives	D-Volkswagen Bond		56,000,000	NC: NC	56,000,000	0	0	0	0	0	56,000,000
AE-104	AE-104	-- CAR REHAB (NIPPON SHARYO P-5)	Formula Fnds Tollway		0	NC: NC	0	0	13,000,000	6,324,000	0	0	19,324,000
AE-105	AE-105	-- CAR REHAB (NIPPON SHARYO P-6)	Formula Fnds Tollway		0	NC: NC	0	0	0	0	500,000	15,000,000	15,500,000
AE-106	AE-106	-- CAR REHAB (NIPPON SHARYO P-7)	Formula Fnds Tollway		0	NC: NC	0	0	0	0	0	12,000,000	12,000,000
Total 1 Rolling Stock					171,600,000		171,600,000	0	118,189,000	94,423,000	116,089,000	163,930,000	664,231,000
2 Track & Structure													
4337	4337	-- Gresham Area Bridges	Formula Fnds Tollway		6,500,000	NC: NC	6,500,000	0	0	0	0	0	6,500,000
4840	4840	-- NORTH LINE BRIDGES (PHASED)	100% Bond		0	NC: NC	0	0	32,000,000	0	0	0	32,000,000

		Current			Proposed		Proposed vs.					
		Source	Budget		Source	Budget	Current	2020	2021	2022	2023	Total
4840	4840	-- NORTH LINE BRIDGES (PHASED)	100% Ser. Bd.	0	NC: NC	0	0	0	0	0	16,000,000	16,000,000
4840	4840	-- NORTH LINE BRIDGES (PHASED)	Formula Fnds Tollway	6,000,000	NC: NC	6,000,000	0	5,400,000	5,000,000	7,000,000	2,009,442	25,409,442
5240	5240	-- BRIDGE A-32	Formula Fnds Tollway	12,000,000	NC: NC	12,000,000	0	0	0	0	0	12,000,000
5311	5311	-- TIES, BALLAST, & SW HEATERS	Formula Fnds Tollway	2,500,000	NC: NC	2,500,000	0	1,500,000	2,500,000	1,500,000	1,500,000	9,500,000
5312	5312	-- TIES AND BALLAST	Formula Fnds Tollway	0	NC: NC	0	0	2,000,000	2,000,000	2,000,000	2,500,000	8,500,000
5313	5313	-- TIES AND BALLAST (50/50)	Formula Fnds Tollway	1,600,000	NC: NC	1,600,000	0	2,500,000	2,000,000	2,000,000	2,500,000	10,600,000
5314	5314	-- TIES AND BALLAST (50/50)	Formula Fnds Tollway	1,250,000	NC: NC	1,250,000	0	250,000	250,000	250,000	250,000	2,250,000
5315	5315	-- TIES AND BALLAST	Formula Fnds Tollway	2,300,000	NC: NC	2,300,000	0	1,500,000	2,000,000	2,000,000	2,000,000	9,800,000
5316	5316	-- TIES AND BALLAST	Formula Fnds Tollway	1,500,000	NC: NC	1,500,000	0	0	0	0	0	1,500,000
5320	5320	-- NCS IMPROVEMENTS	100% Ser. Bd.	798,000	NC: NC	798,000	0	878,000	965,000	1,061,000	1,167,000	4,869,000
5321	5321	-- UNDERCUTTING & SURFACING	Formula Fnds Tollway	250,000	NC: NC	250,000	0	250,000	250,000	250,000	250,000	1,250,000
5322	5322	-- UNDERCUTTING & SURFACING	Formula Fnds Tollway	500,000	NC: NC	500,000	0	500,000	250,000	250,000	250,000	1,750,000
5323	5323	-- UNDERCUTTING & SURFACING	Formula Fnds Tollway	400,000	NC: NC	400,000	0	300,000	300,000	250,000	250,000	1,500,000
5324	5324	-- UNDERCUTTING & SURFACING	Formula Fnds Tollway	500,000	NC: NC	500,000	0	0	500,000	0	500,000	1,500,000
5325	5325	-- RAIL REPLACEMENT	Formula Fnds Tollway	2,600,000	NC: NC	2,600,000	0	1,000,000	1,000,000	1,000,000	1,000,000	6,600,000
5326	5326	-- RAIL REPLACEMENT	Formula Fnds Tollway	250,000	NC: NC	250,000	0	500,000	500,000	500,000	500,000	2,250,000
5327	5327	-- RAIL REPLACEMENT	Formula Fnds Tollway	500,000	NC: NC	500,000	0	1,500,000	1,500,000	1,300,000	1,500,000	6,300,000
5328	5328	-- RAIL REPLACEMENT	Formula Fnds Tollway	250,000	NC: NC	250,000	0	2,500,000	2,500,000	2,100,000	2,500,000	9,850,000
5329	5329	-- RAIL REPLACEMENT	Formula Fnds Tollway	250,000	NC: NC	250,000	0	1,500,000	1,500,000	1,500,000	1,500,000	6,250,000
5330	5330	-- CREATE-P2	100% Ser. Bd.	2,000,000	NC: NC	2,000,000	0	15,000,000	6,000,000	1,000,000	0	24,000,000
5331	5331	-- CROSSINGS (ROAD & TRACK)	Formula Fnds Tollway	1,000,000	NC: NC	1,000,000	0	1,000,000	1,000,000	1,000,000	1,000,000	5,000,000
5332	5332	-- CROSSINGS (ROAD & TRACK - 50/50)	Formula Fnds Tollway	1,250,000	NC: NC	1,250,000	0	1,500,000	1,500,000	1,500,000	1,500,000	7,250,000
5333	5333	-- CROSSINGS (ROAD & TRACK)	Formula Fnds Tollway	500,000	NC: NC	500,000	0	1,500,000	1,500,000	1,500,000	1,500,000	6,500,000
5334	5334	-- CROSSINGS (ROAD & TRACK)	Formula Fnds Tollway	1,000,000	NC: NC	1,000,000	0	1,000,000	1,000,000	1,000,000	1,000,000	5,000,000
5335	5335	-- CROSSINGS (ROAD & TRACK)	Formula Fnds Tollway	0	NC: NC	0	0	750,000	1,000,000	1,500,000	1,500,000	4,750,000
5336	5336	-- BRIDGES & RETAINING WALLS	Formula Fnds Tollway	2,500,000	NC: NC	2,500,000	0	1,000,000	1,000,000	1,000,000	1,000,000	6,500,000
5337	5337	-- BRIDGES	Formula Fnds Tollway	500,000	NC: NC	500,000	0	400,000	400,000	400,000	400,000	2,100,000
5338	5338	-- BRIDGES	Formula Fnds Tollway	500,000	NC: NC	500,000	0	250,000	250,000	250,000	250,000	1,500,000

				Current		Proposed		Proposed vs.					
				Source	Budget	Source	Budget	Current	2020	2021	2022	2023	Total
5340	5340	-- ROOT/43rd STREET BRIDGES	Formula Fnds Tollway		8,000,000	NC: NC	8,000,000	0	0	0	0	0	8,000,000
5344	5344	-- CATENARY STRUCTURE REHAB	Formula Fnds Tollway		1,750,000	NC: NC	1,750,000	0	850,000	750,000	750,000	750,000	4,850,000
5347	5347	-- RIGHT OF WAY FENCING	Formula Fnds Tollway		33,000	NC: NC	33,000	0	33,000	33,000	33,000	33,000	165,000
5348	5348	-- RIGHT OF WAY FENCING	Formula Fnds Tollway		34,000	NC: NC	34,000	0	34,000	34,000	34,000	34,000	170,000
5349	5349	-- RIGHT OF WAY FENCING	Formula Fnds Tollway		33,000	NC: NC	33,000	0	33,000	33,000	33,000	33,000	165,000
BL-104	BL-104	-- WESTERN AVENUE BRIDGE	Formula Fnds Tollway		0	NC: NC	0	0	0	4,000,000	0	0	4,000,000
BL-105	BL-105	-- HICKORY CREEK BRIDGE	Formula Fnds Tollway		0	NC: NC	0	0	0	0	4,000,000	0	4,000,000
Total 2 Track & Structure					59,048,000		59,048,000	0	77,428,000	41,515,000	36,961,000	45,176,442	260,128,442
3 Electrical, Signal, & Communications													
4343	4343	-- POSITIVE TRAIN CONTROL	Formula Fnds Tollway		26,300,268	NC: NC	26,300,268	0	0	0	0	0	26,300,268
4343	4343	-- Positive Train Control (PTC)	CRISI Ser. Bd.		2,572,704	NC: NC	2,572,704	0	0	0	0	0	2,572,704
4343	4343	-- Positive Train Control (PTC)	100% SCIP 2		110,699	NC: NC	110,699	0	0	0	0	0	110,699
4746	4746	-- IMPEDANCE BONDS	Formula Fnds Tollway		0	NC: NC	0	0	200,000	200,000	100,000	200,000	700,000
4841	4841	-- CUS SOUTH INTERLOCKERS	Formula Fnds Tollway		2,450,000	NC: NC	2,450,000	0	0	0	0	0	2,450,000
4842	4842	-- 16TH STREET INTERLOCKER	Formula Fnds Tollway		200,000	NC: NC	200,000	0	0	0	0	0	200,000
4947	4947	-- A20 Interlocker	Formula Fnds Tollway		585,000	NC: NC	585,000	0	0	0	0	0	585,000
5149	5149	-- Lake Street Interlocker	Formula Fnds Tollway		2,300,000	NC: NC	2,300,000	0	5,100,000	6,300,000	4,300,000	7,000,000	25,000,000
5254	5254	-- SIGNAL SYSTEM UPGRADES	Formula Fnds Tollway		0	NC: NC	0	0	250,000	250,000	250,000	250,000	1,000,000
5257	5257	-- RONDOUT INTERLOCKING RENEWAL	Formula Fnds Tollway		3,000,000	NC: NC	3,000,000	0	0	0	0	0	3,000,000
5350	5350	-- Communication Improvements	Formula Fnds Tollway		1,550,000	NC: NC	1,550,000	0	0	0	0	0	1,550,000
5352	5352	-- Signal Standards	Formula Fnds Tollway		600,000	NC: NC	600,000	0	0	0	0	0	600,000
5353	5353	-- SIGNAL SYSTEM UPGRADES	Formula Fnds Tollway		250,000	NC: NC	250,000	0	0	300,000	0	0	550,000
5355	5355	-- SIGNAL SYSTEM UPGRADES	Formula Fnds Tollway		100,000	NC: NC	100,000	0	250,000	100,000	100,000	250,000	800,000
5356	5356	-- YARD IMPROVEMENTS-ELEC	Formula Fnds Tollway		900,000	NC: NC	900,000	0	750,000	900,000	900,000	900,000	4,350,000
5357	5357	-- YARD IMPROVEMENTS - ELEC	Formula Fnds Tollway		440,000	NC: NC	440,000	0	440,000	440,000	440,000	440,000	2,200,000
5358	5358	-- YARD IMPROVEMENTS - ELEC	Formula Fnds Tollway		750,000	NC: NC	750,000	0	750,000	1,000,000	1,500,000	900,000	4,900,000
5359	5359	-- YARD IMPROVEMENTS _ ELEC	Formula Fnds Tollway		1,320,000	NC: NC	1,320,000	0	820,000	1,000,000	450,000	820,000	4,410,000
5360	5360	-- HVAC REPLACEMENTS	Formula Fnds Tollway		1,000,000	NC: NC	1,000,000	0	0	0	0	0	1,000,000

				<u>Current</u>		<u>Proposed</u>		<u>Proposed vs.</u>					
				<u>Source</u>	<u>Budget</u>	<u>Source</u>	<u>Budget</u>	<u>Current</u>	<u>2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>Total</u>
5361	5361	-- MORGAN INTERLOCKING	Formula Fnds Tollway		600,000	NC: NC	600,000	0	3,000,000	3,000,000	0	0	6,600,000
5362	5362	-- WESTERN INTERLOCKING	Formula Fnds Tollway		0	NC: NC	0	0	3,000,000	3,000,000	0	0	6,000,000
5363	5363	-- RECTIFIER REPLACEMENT	Formula Fnds Tollway		1,500,000	NC: NC	1,500,000	0	500,000	500,000	500,000	500,000	3,500,000
5364	5364	-- VIDEO SYS STORAGE	Formula Fnds Tollway		2,000,000	NC: NC	2,000,000	0	1,000,000	1,000,000	1,000,000	1,000,000	6,000,000
CC-104	CC-104	-- BI-DIR SIGNAL (47TH TO 65TH)	Formula Fnds Tollway		0	NC: NC	0	0	2,000,000	5,000,000	0	0	7,000,000
CC-105	CC-105	-- BI-DIR SIGNAL (11TH TO 47TH)	Formula Fnds Tollway		0	NC: NC	0	0	0	0	5,000,000	5,000,000	10,000,000
CC-106	CC-106	-- A-20 INTERLOCKING	Formula Fnds Tollway		0	NC: NC	0	0	6,000,000	6,000,000	0	0	12,000,000
Total 3 Electrical, Signal, & Communications					48,528,671		48,528,671	0	24,060,000	28,990,000	14,540,000	17,260,000	133,378,671
<u>4 Support Facilities & Equipment</u>													
4757	4757	-- MILLENIUM STATION EXITS	Formula Fnds Tollway		1,300,000	NC: NC	1,300,000	0	0	0	0	0	1,300,000
4852	4852	-- FINANCIAL SYSTEM REPLACEMENT	Formula Fnds Tollway		0	NC: NC	0	0	2,100,000	0	1,900,000	1,000,000	5,000,000
4972	4972	-- 47th/49th STREET YARD FACILITY	Formula Fnds Tollway		10,000,000	NC: NC	10,000,000	0	0	0	0	0	10,000,000
5171	5171	-- GPS/TRAIN TRACKING	Formula Fnds Tollway		2,722,223	NC: NC	2,722,223	0	1,296,000	0	0	0	4,018,223
5171	5171	-- GPS/TRAIN TRACKING	100% ICE 2019		5,041,958	NC: NC	5,041,958	0	0	0	0	0	5,041,958
5171	5171	-- GPS/TRAIN TRACKING	100% ICE 2020		0	NC: NC	0	0	5,142,798	0	0	0	5,142,798
5265	5265	-- YARD IMPROVEMENTS	Formula Fnds Tollway		0	NC: NC	0	0	1,000,000	0	1,300,000	1,300,000	3,600,000
5265	5265	-- Yard Improvements - UP	Formula Fnds Tollway		1,400,000	NC: NC	1,400,000	0	0	0	0	0	1,400,000
5365	5365	-- YARD IMPROVEMENTS	Formula Fnds Tollway		3,000,000	NC: NC	3,000,000	0	2,000,000	3,000,000	2,500,000	2,500,000	13,000,000
5366	5366	-- YARD IMPROVEMENTS	Formula Fnds Tollway		1,500,000	NC: NC	1,500,000	0	1,000,000	1,000,000	1,000,000	800,000	5,300,000
5367	5367	-- YARD IMPROVEMENTS	Formula Fnds Tollway		1,000,000	NC: NC	1,000,000	0	500,000	1,000,000	1,000,000	1,000,000	4,500,000
5368	5368	-- YARD IMPROVEMENTS	Formula Fnds Tollway		1,000,000	NC: NC	1,000,000	0	500,000	500,000	500,000	500,000	3,000,000
5369	5369	-- RIGHT OF WAY EQUIPMENT	Formula Fnds Tollway		2,000,000	NC: NC	2,000,000	0	2,000,000	2,000,000	1,500,000	2,000,000	9,500,000
5370	5370	-- OFFICE EQUIPMENT	Formula Fnds Tollway		275,000	NC: NC	275,000	0	275,000	275,000	275,000	275,000	1,375,000
5371	5371	-- EQUIPMENT & VEHIC MECH	Formula Fnds Tollway		1,500,000	NC: NC	1,500,000	0	2,000,000	1,000,000	1,000,000	1,000,000	6,500,000
5372	5372	-- IT COMPONENTS & SERVICES	Formula Fnds Tollway		850,000	NC: NC	850,000	0	1,000,000	1,823,000	1,000,000	1,000,000	5,673,000
5372	5372	-- IT COMPONENTS & SERVICES	100% Ser. Bd.		1,000,000	NC: NC	1,000,000	0	0	0	0	998,000	1,998,000
5373	5373	-- POLICE VEHICLES	Formula Fnds Tollway		500,000	NC: NC	500,000	0	500,000	500,000	500,000	500,000	2,500,000
5374	5374	-- STORAGE EQUIPMENT	Formula Fnds Tollway		600,000	NC: NC	600,000	0	0	0	0	0	600,000
5375	5375	-- 547 BUILDING SYS IMPROVEMENTS	Formula Fnds Tollway		250,000	NC: NC	250,000	0	200,000	250,000	250,000	250,000	1,200,000
5376	5376	-- LASALLE FIRE PROTECTION	Formula Fnds Tollway		520,000	NC: NC	520,000	0	0	0	0	0	520,000

			Current		Proposed		Proposed vs.					
			Source	Budget	Source	Budget	<u>Current</u>	2020	2021	2022	2023	Total
Total 4 Support Facilities & Equipment				34,459,181		34,459,181	0	19,513,798	11,348,000	12,725,000	13,123,000	91,168,979
<u>5 Stations & Passenger Facilities</u>												
4484	4484	-- Auburn Gresham Station	100% IDOT	20,000,000	NC: NC	20,000,000	0	0	0	0	0	20,000,000
4486	4486	-- Peterson Ridge Station	100% IDOT	15,000,000	NC: NC	15,000,000	0	0	0	0	0	15,000,000
4675	4675	-- Blue Island Vermont Street Station	Ser. Bd. ICE 2012	1,000,879	NC: NC	1,000,879	0	0	0	0	0	1,000,879
4675	4675	-- Blue Island Vermont Street Station	Ser. Bd. ICE 2010	60,004	NC: NC	60,004	0	0	0	0	0	60,004
4782	4782	-- HUBBARD WOODS STATION	Formula Fnds Tollway	5,530,000	NC: NC	5,530,000	0	0	0	0	0	5,530,000
4878	4878	-- WEST CHICAGO STATION	Formula Fnds Tollway	0	NC: NC	0	0	5,000,000	0	0	0	5,000,000
5077	5077	-- STATION SIGNS	Formula Fnds Tollway	0	NC: NC	0	0	500,000	0	0	250,000	750,000
5380	5380	-- ADA IMPROVEMENTS	Formula Fnds Tollway	3,000,000	NC: NC	3,000,000	0	3,000,000	3,000,000	3,000,000	3,000,000	15,000,000
5381	5381	-- SYSTEMWIDE STATION IMPS	Formula Fnds Tollway	500,000	NC: NC	500,000	0	700,000	1,000,000	500,000	500,000	3,200,000
5382	5382	-- STATION FAC IMPROVEMENTS	Formula Fnds Tollway	1,500,000	NC: NC	1,500,000	0	1,500,000	1,250,000	1,500,000	2,000,000	7,750,000
5383	5383	-- PARKING LOT IMPROVEMENTS	Formula Fnds Tollway	500,000	NC: NC	500,000	0	500,000	500,000	500,000	500,000	2,500,000
5384	5384	-- ELMHURST	100% Ser. Bd.	1,000,000	NC: NC	1,000,000	0	1,000,000	0	0	0	2,000,000
5385	5385	-- NEW LENOX	100% Ser. Bd.	1,000,000	NC: NC	1,000,000	0	1,000,000	0	0	0	2,000,000
EA-100	EA-100	-- BICYCLE PARKING	CMAQ Ser. Bd.	0	NC: NC	0	0	0	382,400	0	0	382,400
EC-00	EC-00	-- 147TH STREET STATION	100% Bond	0	NC: NC	0	0	5,000,000	0	0	0	5,000,000
Total 5 Stations & Passenger Facilities				49,090,883		49,090,883	0	18,200,000	6,132,400	5,500,000	6,250,000	85,173,283
<u>6 Miscellaneous</u>												
5195	5195	-- ENGINEERING ASSET MANAGEMENT	Formula Fnds Tollway	750,000	NC: NC	750,000	0	500,000	1,000,000	0	0	2,250,000
5390	5390	-- INFRASTRUCTURE ENGINEERING	Formula Fnds Tollway	500,000	NC: NC	500,000	0	1,000,000	1,000,000	1,000,000	1,000,000	4,500,000
5391	5391	-- INFRASTRUCTURE ENGINEERING	Formula Fnds Tollway	500,000	NC: NC	500,000	0	1,000,000	1,000,000	1,000,000	1,000,000	4,500,000
5392	5392	-- INFRASTRUCTURE ENGINEERING	Formula Fnds Tollway	1,000,000	NC: NC	1,000,000	0	2,000,000	2,000,000	2,000,000	2,000,000	9,000,000
5393	5393	-- INFRASTRUCTURE ENGINEERING	Formula Fnds Tollway	1,000,000	NC: NC	1,000,000	0	1,500,000	2,000,000	2,000,000	2,000,000	8,500,000
5394	5394	-- INFRASTRUCTURE ENGINEERING	Formula Fnds Tollway	500,000	NC: NC	500,000	0	1,000,000	1,000,000	1,000,000	1,000,000	4,500,000
5395	5395	-- INFRASTRUCTURE ENGINEERING	Formula Fnds Tollway	1,000,000	NC: NC	1,000,000	0	2,000,000	2,000,000	2,000,000	2,500,000	9,500,000
5396	5396	-- UNANTICIPATED CAPITAL	100% Ser. Bd.	600,000	NC: NC	600,000	0	888,000	1,000,000	800,000	800,000	4,088,000

			<u>Current</u>		<u>Proposed</u>		<u>Proposed vs.</u>					
			<u>Source</u>	<u>Budget</u>	<u>Source</u>	<u>Budget</u>	<u>Current</u>	<u>2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>Total</u>
ICE2021	ICE2021	-- RTA ICE FUNDED PROJECTS - TBD	100% ICE 2021	0	NC: NC	0	0	0	5,245,654	0	0	5,245,654
<i>Total 6 Miscellaneous</i>				5,850,000		5,850,000	0	9,888,000	16,245,654	9,800,000	10,300,000	52,083,654
<i>8 Contingencies & Administration</i>												
5397	5397	-- LOCALLY FUNDED PROJECTS / MATCH	100% Ser. Bd.	87,459	NC: NC	87,459	0	1,234,000	758,600	1,000,000	1,035,000	4,115,059
5398	5398	-- PROJECT ADMINISTRATION	Formula Fnds Tollway	1,000,000	NC: NC	1,000,000	0	1,600,000	1,000,000	1,000,000	1,000,000	5,600,000
5399	5399	-- CONTINGENCIES	Formula Fnds Tollway	1,000,000	NC: NC	1,000,000	0	818,416	1,000,036	927,000	1,027,244	4,772,696
5399	5399	-- CONTINGENCIES	Formula Fnds Tollway	900,441	NC: NC	900,441	0	740,153	1,632,356	938,379	888,138	5,099,467
<i>Total 8 Contingencies & Administration</i>				2,987,900		2,987,900	0	4,392,569	4,390,992	3,865,379	3,950,382	19,587,222
<i>Total</i>				371,564,634		371,564,634	0	271,671,367	203,045,046	199,480,379	259,989,824	1,305,751,250
<i>Total Metra</i>				371,564,634		371,564,634	0	271,671,367	203,045,046	199,480,379	259,989,824	1,305,751,250

				Current		Proposed		Proposed vs.						
				Source	Budget	Source	Budget	Current	2020	2021	2022	2023	Total	
<i>Pace</i>														
<i>Bus</i>														
<i>1 Rolling Stock</i>														
5375	5375	-- Purchase CNG Buses	D-Volkswagen Ser. Bd.	3,076,920	NC: NC	3,076,920	0	0	0	0	0	3,076,920		
5400	5400	-- Purchase approximately 18 40' Fixed Route Buses	5307 Tollway	0	NC: NC	0	0	0	0	9,000,000	0	9,000,000		
5401	5401	-- Purchase approximately Fixed Route Buses (30' and OTR Coach)	5307 Tollway	16,149,967	NC: NC	16,149,967	0	13,200,000	11,200,000	8,000,000	10,000,000	58,549,967		
5402	5402	-- Purchase approximately 305 Paratransit Vehicles	5339 Tollway	2,277,703	NC: NC	2,277,703	0	0	1,733,675	0	0	4,011,378		
5402	5402	-- Purchase approximately 305 Paratransit Vehicles	5307 Tollway	3,185,000	NC: NC	3,185,000	0	4,160,000	2,491,325	3,380,000	3,250,000	16,466,325		
5403	5403	-- Purchase approximately 69 Community/On-Demand Vehicles	5339 Tollway	0	NC: NC	0	0	1,689,806	0	1,778,684	1,824,861	5,293,351		
5404	5404	-- Purchase approximately 187 Vanpool Vehicles	100% CMAQ	7,520,000	NC: NC	7,520,000	0	0	0	0	0	7,520,000		
5405	5405	-- Engine/Transmission Retrofits	5307 Tollway	0	NC: NC	0	0	2,002,844	2,001,729	2,018,670	2,002,292	8,025,535		
<i>Total 1 Rolling Stock</i>				32,209,590		32,209,590	0	21,052,650	17,426,729	24,177,354	17,077,153	111,943,476		
<i>4 Support Facilities & Equipment</i>														
5313	5313	-- New Northwest Division Garage - Wheeling	100% Pace Bond	46,800,000	NC: NC	46,800,000	0	0	0	0	0	46,800,000		
5313	5313	-- New Northwest Division Garage - Wheeling	100% Bond	185,550	NC: NC	185,550	0	0	0	0	0	185,550		
5313	5313	-- New Northwest Division Garage - Wheeling	100% Bond	997,712	NC: NC	997,712	0	0	0	0	0	997,712		
5313	5313	-- New Northwest Division Garage - Wheeling	100% Bond	2,462,109	NC: NC	2,462,109	0	0	0	0	0	2,462,109		
5313	5313	-- New Northwest Division Garage - Wheeling	100% Ser. Bd.	1,882,880	NC: NC	1,882,880	0	0	0	0	0	1,882,880		
5410	5410	-- Improve Support Facilities	5307 Tollway	2,062,530	NC: NC	2,062,530	0	0	2,000,000	0	0	4,062,530		
5410	5410	-- Improve Support Facilities	100% Bond	0	NC: NC	0	0	0	0	0	6,500,000	6,500,000		
5410	5410	-- Improve Support Facilities	100% Bond	0	NC: NC	0	0	7,900,000	0	0	0	7,900,000		
5411	5411	-- Computer Systems Hardware & Software	5307 Tollway	500,000	NC: NC	500,000	0	1,000,000	1,000,000	1,000,000	1,000,000	4,500,000		
5412	5412	-- Support Equipment/Non-Revenue Vehicles	5307 Tollway	600,000	NC: NC	600,000	0	1,000,000	1,000,000	1,000,000	1,000,000	4,600,000		
5413	5413	-- Farebox System	5307 Tollway	250,000	NC: NC	250,000	0	5,000,000	5,000,000	5,000,000	2,500,000	17,750,000		
5414	5414	-- Associated Capital	5307 Tollway	6,000,000	NC: NC	6,000,000	0	4,000,000	3,000,000	0	5,000,000	18,000,000		
5415	5415	-- Preventive Maintenance	5307 Tollway	3,000,000	NC: NC	3,000,000	0	7,250,000	6,980,000	6,808,000	6,633,000	30,671,000		
5416	5416	-- Office Equipment/Furniture	5307 Tollway	100,000	NC: NC	100,000	0	500,000	500,000	500,000	500,000	2,100,000		

			Current		Proposed		Proposed vs.					
			Source	Budget	Source	Budget	Current	2020	2021	2022	2023	Total
Total 4 Support Facilities & Equipment				64,840,781		64,840,781	0	26,650,000	19,480,000	14,308,000	23,133,000	148,411,781
5 Stations & Passenger Facilities												
5420	5420	-- Improve Passenger Facilities	5307 Tollway	0	NC: NC	0	0	0	3,359,488	2,250,000	7,500,000	13,109,488
5420	5420	17-94-0035 -- Improve Passenger Facilities	100% Discr.	242,266	NC: NC	242,266	0	0	0	0	0	242,266
5420	5420	17-94-0035 -- Improve Passenger Facilities	100% SCIP 2	985,364	NC: NC	985,364	0	0	0	0	0	985,364
5420	5420	-- Improve Passenger Facilities0	100% CMAQ	0	NC: NC	0	0	0	10,040,512	0	0	10,040,512
5421	5421	-- Bus Stop Shelters/Signs	5307 Tollway	750,000	NC: NC	750,000	0	1,000,000	1,000,000	1,000,000	1,000,000	4,750,000
5422	5422	-- Bus Tracker Sign Deployment	5307 Tollway	500,000	NC: NC	500,000	0	0	0	0	0	500,000
5423	5423	-- Posted Stops Only Conversion	5307 Tollway	750,000	NC: NC	750,000	0	500,000	500,000	500,000	500,000	2,750,000
5424	5424	-- Joliet Transit Center	5307 Tollway	7,500,000	NC: NC	7,500,000	0	0	0	0	0	7,500,000
5425	5425	-- Orland Square Mall Passenger Facility	100% Ser. Bd.	600,000	NC: NC	600,000	0	0	0	0	0	600,000
Total 5 Stations & Passenger Facilities				11,327,630		11,327,630	0	1,500,000	14,900,000	3,750,000	9,000,000	40,477,630
6 Miscellaneous												
5430	5430	-- Unanticipated Capital	100% Ser. Bd.	250,000	NC: NC	250,000	0	250,000	250,000	250,000	250,000	1,250,000
5435	5435	-- Transit Asset Management (TAM) Program	100% Discr.	250,000	NC: NC	250,000	0	0	0	0	0	250,000
Total 6 Miscellaneous				500,000		500,000	0	250,000	250,000	250,000	250,000	1,500,000
Total				108,878,001		108,878,001	0	49,452,650	52,056,729	42,485,354	49,460,153	302,332,887
Total Pace				108,878,001		108,878,001	0	49,452,650	52,056,729	42,485,354	49,460,153	302,332,887

			<u>Current</u>		<u>Proposed</u>		<u>Proposed vs.</u>					
			Source	Budget	Source	Budget	<u>Current</u>	<u>2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>Total</u>
RTA												
System												
<u>6 Miscellaneous</u>												
9999	9999	16-94-0006 -- Access to Transit Program	100% RTA Capital Reserves	250,000	NC: NC	250,000	0	0	0	0	0	250,000
Total 6 Miscellaneous				250,000		250,000	0	0	0	0	0	250,000
Total				250,000		250,000	0	0	0	0	0	250,000
Total RTA				250,000		250,000	0	0	0	0	0	250,000

To: Board of Directors

From: Leanne P. Redden, Executive Director

Date: August 1, 2019

Re: Ordinance authorizing contracts for State consulting services



**Regional
Transportation
Authority**

175 W. Jackson Blvd,
Suite 1650
Chicago, IL 60604
312-913-3200
rtachicago.org

This ordinance authorizes contracts to be initiated for our state legislative consulting team. The contract for the consultants will run from September 1, 2019 through August 31, 2020. The consultants and cost remain the same as last year. The total expenditure for all contracts is \$320,000. The consultants that will represent the RTA are Leinenweber, Baroni and Daffada Consulting, LLC, Thomson Weir, LLC, The Roosevelt Group, the Law Offices of Paul L. Williams & Associates, NJ Kimme & Company and the Government Navigation Group.

Background

Leinenweber, Baroni & Daffada Consulting, LLC is represented by Peter Baroni and Andrew Bodewes. In 2004, after years of experience in the public sector as a prosecutor and legal counsel to the Illinois Senate, Peter Baroni founded a law practice and public policy consulting firm, Leinenweber, Baroni & Daffada. In 2008, Andrew Bodewes, a former Illinois House staffer with experience in the area of pensions and budget matters, joined the firm. Leinenweber, Baroni & Daffada Consulting, LLC has represented the RTA since 2004.

Thomson Weir, LLC is a government affairs and public relations consulting firm headed by Mike Thomson and Michael Weir, who have nearly 30 years of combined experience working in state government. Mr. Thomson and Mr. Weir maintain solid, bipartisan relationships with individuals serving in executive, legislative and administrative roles. Both Mr. Thomson and Mr. Weir previously served in senior staff roles for the Illinois House Majority Caucus.

The Roosevelt Group was founded in 2005 by Victor Reyes and Mike Noonan as a full service lobbying firm focused on state and local government affairs. Mr. Reyes and Mr. Noonan have a combined 30 years of experience working in and with the Illinois legislature. Additionally, Mr. Reyes is a former Chicago Transit Authority board member.

Paul Williams has over 35 years of state legislative and government experience. Mr. Williams served in the Illinois General Assembly for three terms and was appointed a Democratic floor leader during his second term. Additionally, Mr. Williams previously served as a staff member in both the Illinois Senate and the Illinois House.

NJ Kimme & Company is a government affairs firm headed by Nancy Kimme. Ms. Kimme was Chief of Staff for Illinois Comptroller Judy Baar Topinka and also served in Metra's legislative department. In addition, Ms. Kimme previously served as a senior transition advisor to Governor Rauner's transition team where she counseled the administration at all levels. NJ Kimme & Company has represented the RTA since March of 2014.

The Government Navigation Group (GNG) is a full-service government relations firm established in 2003 and is represented by Tiffany Elking, Anthony Rossi and Paul Rosenfeld. Tiffany Elking joined GNG as Vice-President after serving as Assistant Counsel for the Illinois House Majority where she was counsel to the House Revenue and Finance Committees. Anthony Rossi is the managing partner of GNG's Springfield office and formerly was the Clerk of the Illinois House of Representatives and the Illinois House Director of Research and Appropriations. Paul Rosenfeld is the managing partner of GNG's Chicago office and formerly was a senior staffer for a Chicago Alderman as well as worked for both the Illinois Housing Development Authority and the Illinois Development Finance Authority.

Each of these firms have submitted the Vendor Contractor Certification (VCC) form. The VCCs disclose the names of the principals and any contracted lobbyists, certify that entering into this contract will not create a prohibited conflict of interest, and certify that the firm and its principals have not been debarred or suspended from participating in public contracts and have not been convicted of procurement-related offenses. These firms have also agreed to update these certifications should any of the information change during the contract period.

Fiscal Impact

The total cost of the proposed legislative consulting services contracts is \$320,000. This is the same level of funding as the previous year.

The cost of services to be performed by Leinenweber, Baroni and Daffada Consulting, LLC is \$70,000. The cost of services to be performed by Thomson Weir, LLC is \$90,000. The cost of services to be performed by The Roosevelt Group is \$50,000. The cost of services to be performed by Law Offices of Paul L. Williams & Associates is \$40,000. The cost of services to be performed by NJ Kimme & Company is \$40,000. The cost of services to be performed by the Government Navigation Group is \$30,000.

Prepared by: Government Affairs

LPR/JLM/bn
Attachment.

ORDINANCE NO.

WHEREAS, the Regional Transportation Authority (the "Authority") has determined that it is in the Authority's best interest to retain state governmental consultants to represent the Authority before the Illinois General Assembly, the Office of the Governor, and any other State departments, agencies or entities as may be appropriate;

WHEREAS, Leinenweber, Baroni and Daffada Consulting, LLC, Thomson Weir, LLC, The Roosevelt Group, the Law Offices of Paul L. Williams & Associates, NJ Kimme & Company and the Government Navigation Group are qualified to conduct State governmental consulting services for the Authority;

WHEREAS, Leinenweber, Baroni and Daffada Consulting, LLC, Thomson Weir, LLC, The Roosevelt Group, the Law Offices of Paul L. Williams & Associates, NJ Kimme & Company and the Government Navigation Group have previously submitted the Authority's Vendor/Contractor Certification that discloses the names of the principals and any contracted lobbyists, certifies that entering into this contract will not create a prohibited conflict of interest, and certifies that the firm and its principals have not been debarred or suspended from participating in public contracts and have not been convicted of procurement-related offenses. Leinenweber, Baroni and Daffada Consulting, LLC, Thomson Weir, LLC, The Roosevelt Group and the Law Offices of Paul L. Williams & Associates, NJ Kimme & Company and the Government Navigation Group have agreed to update the Certification should any of the information change during the contract period; and

WHEREAS, the Authority wishes to retain Leinenweber, Baroni and Daffada Consulting, LLC, Thomson Weir, LLC, The Roosevelt Group, the Law Offices of Paul L. Williams & Associates, NJ Kimme & Company and the Government Navigation Group to perform duties associated with representing the Authority's interests before the State government.

NOW, THEREFORE, BE IT ORDAINED BY THE BOARD OF DIRECTORS OF THE REGIONAL TRANSPORTATION AUTHORITY that:

1. The Chairman of the Regional Transportation Authority is hereby authorized to permit the Authority to enter into a contract with Leinenweber, Baroni and Daffada Consulting, LLC to perform governmental consulting services for the period of September 1, 2019 through August 31, 2020 for an amount not to exceed \$70,000. Any funds for services to be performed during the 2020 portion of the contract period are subject to the future appropriation of such funds by the Authority as part of the 2020 budget.

2. The Chairman of the Regional Transportation Authority is hereby authorized to permit the Authority to enter into a contract with Thomson Weir, LLC to perform governmental consulting services for the period of September 1, 2019 through August 31, 2020 for an amount not to exceed \$90,000. Any funds for services to be performed during the 2020 portion of the contract period are subject to the future appropriation of such funds by the Authority as part of the 2020 budget.
3. The Chairman of the Regional Transportation Authority is hereby authorized to permit the Authority to enter into a contract with The Roosevelt Group to perform governmental consulting services for the period of September 1, 2019 through August 31, 2020 for an amount not to exceed \$50,000. Any funds for services to be performed during the 2020 portion of the contract period are subject to the future appropriation of such funds by the Authority as part of the 2020 budget.
4. The Chairman of the Regional Transportation Authority is hereby authorized to permit the Authority to enter into a contract with the Law Offices of Paul L. Williams & Associates to perform governmental consulting services for the period of September 1, 2019 through August 31, 2020 for an amount not to exceed \$40,000. Any funds for services to be performed during the 2020 portion of the contract period are subject to the future appropriation of such funds by the Authority as part of the 2020 budget.
5. The Chairman of the Regional Transportation Authority is hereby authorized to permit the Authority to enter into a contract with NJ Kimme & Company to perform general consulting services for the period of September 1, 2019 through August 31, 2020 for an amount not to exceed \$40,000. Any funds for services to be performed during the 2020 portion of the contract period are subject to the future appropriation of such funds by the Authority as part of the 2020 budget.
6. The Chairman of the Regional Transportation Authority is hereby authorized to permit the Authority to enter into a contract with the Government Navigation Group to perform governmental consulting services for the period of September 1, 2019 through August 31, 2020 for an amount not to exceed \$30,000. Any funds for services to be performed during 2020 portion of the contract period are subject to the future appropriation of such funds by the Authority as part of the 2020 budget.
7. The Executive Director of the Authority is hereby authorized to take such action as the Executive Director deems necessary or appropriate to implement, administer and enforce this Ordinance and said contracts.

To: Board of Directors

From: Leanne P. Redden, Executive Director

Date: August 15, 2019

Re: Ordinance authorizing a contract for RTA Non-Rider and Lapsed Rider Survey



**Regional
Transportation
Authority**

175 W. Jackson Blvd,
Suite 1650
Chicago, IL 60604
312-913-3200
RTAChicago.org

Action Requested

The proposed ordinance authorizes the Executive Director to execute a firm fixed-fee, two-year contract with Resource Systems Group, Inc. (RSG) to develop a Non-Rider and Lapsed Rider Survey. This contract will be funded by a federal grant from the Illinois Department of Transportation and the Regional Transportation Authority (RTA). The total contract amount is not-to-exceed \$146,098. The DBE goal for this contract is 10%. RSG has identified a certified DBE to meet the goal.

Background

The project will survey and analyze non-riders (those who have not ridden transit on a consistent basis or at all in the past five years) and "lapsed" riders (those who rode consistently in the recent past but have significantly reduced their transit trips or stopped using transit); comparing their travel preferences with those of current transit riders.

The intent and purpose of the survey is to better understand the reasons why these market groups of potential transit riders are choosing other travel modes, and to identify strategic incentives that will encourage them to utilize transit more frequently. The survey will investigate current trends that are negatively impacting transit ridership, such as the increased prevalence of Transportation Network Companies (TNC).

Consultant Selection

Following a competitive Request for Proposals (RFP) solicitation process, RSG was selected from among the respondents as well qualified to complete the scope of work. RSG has submitted the RTA's Vendor/Contractor Certification that discloses the names of the principals and any contracted lobbyists, certifies that entering into these contracts will not create a prohibited conflict of interest, and certifies that the firms and their principals have not been debarred or suspended from participating in public contracts and have not been convicted of procurement-related offenses. The firm has also agreed to update the Certification should any of the information change during the contract period.

Financial Impact

The total cost of the proposed contract is not-to-exceed \$146,098. Eighty percent of the contract, \$116,878.40, will be funded through a federal grant administered by the Illinois Department of Transportation (IDOT). Twenty percent of the contract, or \$29,219.60, will be funded by the RTA. RTA funding was approved as part of the RTA's 2019 budget. Funding for subsequent years is subject to annual budget appropriations by the RTA Board.

Prepared by: Planning, Capital Programming & Performance

LPR/JL/JH/HS
Attachment

ORDINANCE NO.

WHEREAS, the Regional Transportation Authority (the "RTA" or "Authority"), in cooperation with Chicago Transit Authority ("CTA"), Metra and Pace, developed the Regional Transit Strategic Plan, Invest in Transit;

WHEREAS, the three goals of Invest in Transit are to "Deliver Value on our Investment," "Build on the Strengths of our Network" and "Stay Competitive;" surveys help to implement all three goals of Invest in Transit;

WHEREAS, the RTA has identified people who do not ride transit (non-riders) and people who rode in the past but do not do so anymore (lapsed riders) as potential markets to attract to transit;

WHEREAS, a Non-Rider and Lapsed Rider Survey will examine the opportunities to market transit to these potential riders and identify incentives to encourage more frequent transit use;

WHEREAS, the Authority issued a Request for Proposals to implement the 2019 RTA Non-Rider and Lapsed Rider Survey and found Resource Systems Group, Inc. well qualified to provide the RTA with implementation of the Non-Rider and Lapsed Rider Survey; and

WHEREAS, Resource Systems Group, Inc. has submitted the Authority's Vendor/Contractor Certification that discloses the names of the principals and any contracted lobbyists, certifies that entering into this contract will not create a prohibited conflict of interest, and certifies that the firm and its principals have not been debarred or suspended from participating in public contracts and have not been convicted of procurement-related offenses. The firm has agreed to update the Certifications should any of the information change during the contract period.

NOW, THEREFORE, BE IT ORDAINED BY THE BOARD OF DIRECTORS OF THE REGIONAL TRANSPORTATION AUTHORITY THAT:

1. The Executive Director of the Authority is hereby authorized to enter into a two-year contract with Resource Systems Group Inc. to prepare a Non-Rider and Lapsed Rider Survey at a cost not to exceed \$146,098. Eighty percent of the contract will be funded through federal funding from the Illinois Department of Transportation (IDOT), with twenty percent funded by the RTA.
2. The Executive Director is hereby authorized and directed to take such actions as the Executive Director deems necessary or appropriate to implement, administer and enforce this ordinance and said contract.

To: Board of Directors

From: Leanne P. Redden, Executive Director

Date: August 15, 2019

Re: Ordinance authorizing a contract for RTA Customer Satisfaction Survey



**Regional
Transportation
Authority**

175 W. Jackson Blvd,
Suite 1650
Chicago, IL 60604
312-913-3200
RTAChicago.org

Action Requested

The proposed ordinance authorizes the Executive Director to execute a firm fixed-fee, one-year contract with Resource Systems Group, Inc. (RSG) to develop a Customer Satisfaction Survey. This contract will be funded by the RTA. The total contract amount is not to exceed \$405,208. The DBE goal for this contract is 11%. RSG has identified certified DBE vendors to meet its goal.

Background

The RTA has been leading regional customer satisfaction survey efforts since 2011, in accordance with the 2008 RTA Act amendment which identified customer satisfaction reporting as part of the performance measurement process.

The contractor will administer, collect, and analyze customer satisfaction data collected from a survey of RTA system riders. This effort builds on previous customer satisfaction surveys completed in 2011, 2014, and 2016. Furthermore, the contractor will review and comment on recent on-line customer satisfaction survey efforts conducted by RTA staff in an attempt to obtain more timely, focused customer feedback.

Consultant Selection

The RTA issued a Request for Proposals (RFP) on March 7, 2019. RSG was the sole bidder despite its release to 73 potential vendors, followed by phone calls to ensure receipt of the release. RSG is the incumbent vendor that has performed each of the three prior customer satisfaction surveys. Staff has found that RSG's proposal is responsive and responsible for the required scope of work, and thus is recommending award of the contract.

RSG has submitted the RTA's Vendor/Contractor Certification that discloses the names of the principals and any contracted lobbyists, certifies that entering into these contracts will not create a prohibited conflict of interest, and certifies that the firms and their principals have not been debarred or suspended from participating in public contracts and have not been convicted of procurement-related offenses. The firms have also agreed to update the Certification should any of the information change during the contract period.

Financial Impact

The total cost of the proposed contract is not to exceed \$405,208, which includes a \$335,555 base contract and a \$69,653 option for additional Pace on-board surveying. Funding was approved as part of the RTA 2019 budget. Expenditures projected for 2020 are subject to appropriation by the RTA Board.

Prepared by: Planning, Capital Programming & Performance

LPR/JL/JH/KA
Attachment

ORDINANCE NO.

WHEREAS, Section 2.01(a)(iii) of the Regional Transportation Authority Act (the "Act") requires the Regional Transportation Authority (the "Authority" or the "RTA") to develop performance measures to inform the public about the extent to which the provision of public transportation in the metropolitan region meets the goals, objectives, and standards for the Authority, the Service Boards, and the broader community of stakeholders;

WHEREAS, Section 2.01a of the RTA Act specifically mentions customer satisfaction as one of the measures that shall be included in the performance measurement process;

WHEREAS, the three goals of the Regional Transit Strategic Plan, Invest in Transit, are to "Deliver Value on our Investment," "Build on the Strengths of our Network" and "Stay Competitive;" surveys help implement all three goals of Invest in Transit;

WHEREAS, the Authority issued a Request for Proposals to implement the 2019 Regional Customer Satisfaction Survey and found Resource Systems Group, Inc. to be well qualified to provide the RTA with implementation of the 2019 regional customer satisfaction survey; and

WHEREAS, Resource System Group, Inc. has submitted the Authority's Vendor/Contract Certification that discloses the names of the principals and any contracted lobbyists, certifies that the entering into this contract will not create a prohibited conflict of interest, and certifies that the firm and its principals have not been debarred or suspended from participating in public contracts and have not been convicted of procurement-related offenses. Resource Systems Group, Inc. has also agreed to update the Certification should any of the information change during the contract period.

NOW, THEREFORE, BE IT ORDAINED BY THE BOARD OF DIRECTORS OF THE REGIONAL TRANSPORTATION AUTHORITY THAT:

1. The Executive Director of the Authority is hereby authorized to enter into a one-year contract with Resource Systems Group, Inc. for implementation of the 2019 regional customer satisfaction survey in an amount not to exceed \$405,208.
2. The Executive Director of the Authority is hereby authorized and directed to take such action as the Executive Director deems necessary or appropriate to implement, administer, and enforce this Ordinance and said contract.

To: Board of Directors

From: Leanne P. Redden, Executive Director

Date: July 29, 2019

Re: Ordinance authorizing a contract for Virtual Chief Information Security Officer (vCISO) data security



**Regional
Transportation
Authority**

175 W. Jackson Blvd,
Suite 1650
Chicago, IL 60604
312-913-3200
rtachicago.org

The proposed ordinance authorizes the execution of a contract with RedLegg an authorized cybersecurity solutions provider, to deliver consulting services to the RTA in support of an enhanced security initiative.

Background

Cybersecurity is a critical component of organizations utilizing any level of technology. Historically organizations have taken a more reactive approach to cybersecurity, however continued examples of devastating breaches and stolen information have made organizations rethink their approach to this extremely vital area of security. It's estimated that cybercrimes will cost businesses over \$2 Trillion dollars in 2019 (Juniper Research). Any organization without a defined and operational cybersecurity plan is placing itself at significant risk. Following a competitive process, RedLegg was selected as best suited to provide of cybersecurity solutions to the RTA.

Cybersecurity protects an organization's assets including valuable data, trade secrets, internal information, and any other digitally generated files. It also covers the physical security of an organization's datacenters. Additionally, any personally identifiable information also requires safeguarding. An appropriate level of time and resources must be dedicated to cybersecurity initiatives to ensure the correct security posture against potential threats. Unfortunately no amount of effort guarantees security, however a well-thought out plan that's properly implemented will greatly reduce the risk of a negative cybersecurity event.

Currently the RTA's cybersecurity program is in development and expected to reach a specific level of maturity that will allow the security team to clearly assess risks and develop the appropriate response to such threats. Given the sensitive nature of the data warehoused by the RTA, we must ensure that the proper cybersecurity posture is in place from any and all potential threats.

RedLegg has submitted the Vendor Contractor Certification (VCC) form. The VCCs disclose the names of the principals and any contracted lobbyists, certify that entering into this contract will not create a prohibited conflict of interest, and certify that the firm and its principals have not been debarred or suspended from participating in public contracts and have not been convicted of procurement-related offenses. These firm has also agreed to update these certifications should any of the information change during the contract period.

Financial Impact

The proposed one-year contract with RedLegg would not exceed a total value of \$248,000, including a one-year option to renew.

The approved RTA 2019 budget contains sufficient funding for this project, however any expenditures in subsequent years is subject to the appropriation of funds by the Board of Directors.

Prepared by: Finance, Innovation and Information Technology Department

LR/BRH/GC/AM
Attachment.

ORDINANCE NO.

WHEREAS, the Regional Transportation Authority (the Authority or RTA) operates using technology that requires safeguarding;

WHEREAS, Cybersecurity is a critical component to the RTA due to the sophisticated nature of cyber threats;

WHEREAS, the Authority must maintain a secure computing environment for normal operations;

WHEREAS, the Authority has determined that a contract with RedLegg meets the Authority's cybersecurity requirements and would be in the RTA's best interest; and

WHEREAS, RedLegg has submitted the Authority's Vendor/Contractor Certification that discloses the names of the principals and any contracted lobbyists, certifies that the firm and its principals have not been debarred or suspended from participating in public contracts and have not been convicted of procurement-related offenses and provides for updates to the Certification should any of the required information change during the contract period.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE REGIONAL TRANSPORTATION AUTHORITY that:

1. The Executive Director of the Authority is hereby authorized to execute a contract with RedLegg for a one-year term, and a one-year option to renew, at a total value not to exceed \$248,000.
2. The Executive Director is hereby authorized and directed to take such actions as the Executive Director deems necessary and appropriate to implement, administer and enforce this ordinance and said contract.

To: Board of Directors

From: Leanne P. Redden, Executive Director

Date: August 7, 2019

Re: Travel Expense Reimbursement



**Regional
Transportation
Authority**

175 W. Jackson Blvd,
Suite 1650
Chicago, IL 60604
312-913-3200
rtachicago.org

Effective January 1, 2017, the Illinois General Assembly adopted the Local Government Travel Expense Control Act ("the Act"; 50 ILCS 150/1 et seq.). Section 15 of the new legislation requires that all travel expenses of members of the RTA Board of Directors and expenses of any officer or employee exceeding the maximum allowed under the agency policy be approved only by "roll call vote at an open meeting of the governing board or corporate authorities of the local public agency."

The Board is being asked to approve travel expenditures as indicated below and on the attached forms:

<u>Name</u>	<u>Amount</u>
Board Expenses	\$687.77
Board Expenses	\$597.28
Board Expenses	\$468.74
Board Expenses	\$703.45
Board Expenses	\$1979.90
Board Expenses	\$661.25
Staff Expenses	\$2211.50
Staff Expenses	\$2411.49
Staff Expenses	\$2599.09

LPR/AM
Attachments.

EXPENSE REPORT

A



1 Today's Date	2 Last Name	First Name	3 Address (If check to be mailed outside the RTA)	4 Division	5 Department
7/23/19	Dillard	Kirk	Chairman	Board	Executive
6 Overall business purpose (Provide when applicable to all Items; otherwise, complete Column 11 for each individual item)			7 Account Name	8 Account General Ledger (GL) Code	9 AA Code
RTA-related travel and other business expenses.			Travel Expense	000-190-52100	999
			Business Expense	000-190-52101	999
			TOTAL:		\$ 687.77
11 Date	12 Item	13 Description of Expenditure(s)			61019
6/2-3/19	Who/Purpose	Attend State House closing legislative session issues with legislators, Governor's staff and others.			
	Mileage/Tolls/Parking	Mileage R-B-R: 372 @ 58¢/mi = \$215.76			\$ 215.76
	Lodging	Lincoln Square Apts. 2 nights @ \$91.00			\$ 182.00
	Meals	Per diem: 6/2: B-L-D=\$70.00 6/3: B-L=\$35.00			\$ 105.00
	Ground Transportation				
6/7/19	Who/Purpose	Meeting with Kathy Selke, Convention & Tourism Bureau and staff of River North Theatres re: "L" and #8 Halsted transit service.			
	Mileage/Tolls/Parking	Mileage R-C-R: 45.6 @ 58¢/mi = \$26.45 Tolls: 2 @ 75¢ = \$1.50 Parking: \$20.00			\$ 47.95
6/8/19	Who/Purpose	Breakfast meeting with Steve Laffey, Railroad Safety Specialist, Illinois Commerce Commission and Larry Wilson, Chair, Railroad Safety Council re: rail safety and suicide prevention.			
	Mileage/Tolls/Parking	Mileage R-D-R: 1.7 @ 58¢/mi = \$ 0.99			\$ 0.99
	Meal	Egg Harbor			\$ 52.76
6/9/19	Who/Purpose	Meeting with James Stupp, Arlington Racecourse, Rep. Rurkin and various legislators re: new fees in State, budget supporting infrastructure, infrastructure distribution formulas.			
	Mileage/Tolls/Parking	Mileage R-E-R: 47.5 @ 58¢/mi = \$27.55 Tolls: 1 @ 75¢ = \$0.75 Parking: \$15.00			\$ 43.30
6/10/19	Who/Purpose	Attend Misericordia Annual meeting with Sister Rosemary Connell and staff re: ADA paratransit and people with disabilities issues.			
	Mileage/Tolls/Parking	Mileage R-F-R: 63.8 @ 58¢/mi = \$37.01 Tolls: 4 @ 75¢ = \$3.00			\$ 40.01
15 Comments:				16 SUB-TOTAL	
Points of Travel for mileage: (All mileage at 58¢/mile - 2019)				\$ 687.77	
R - 501 Wedgewood Ct., Hinsdale (residence)				17 PREVIOUS PAGE (S) TOTAL	
O - 111 S. Wacker Dr. or 211 W. Adams or 315 W. Monroe, Chicago (Office)				\$ -	
A - RTA (318 S. Federal or 326 S. Wells)				18 CASH ADVANCE	
B - 521 E. Monroe St., Springfield, IL				\$ -	
C - 1641 N. Halsted, Chicago				19 PREPAID AIR FARE	
D - 777 N. York Rd., Hinsdale				\$ -	
E - 2200 Euclid Ave., Arlington Heights				20 PREPAID REGISTRATION FEE	
F - 2800 Country Club Dr., Olympia Field				\$ -	
				21a RTA CREDIT CARD CHARGES	
				\$ -	
				21b PERSONAL CHARGES ON RTA CREDIT CARD	
				\$ -	
				22 TOTAL DEDUCTIONS	
				\$ -	
				23 TOTAL DUE EMPLOYEE (RTA)	
				\$ 687.77	
23 I certify that in accordance with RTA Travel Regulations the above amount is correct and just; that the detailed items charged for subsistence were actually paid; that the expenses were occasioned by official business or unavoidable delays requiring the stay at hotels for the time specified; that the journey was performed with all practicable dispatch by the shortest route usually traveled in the customary reasonable manner; and that I have not been furnished by others with transportation or money in lieu thereof for the journey.				24 PERTAINS TO TRAVEL EXPENSES ONLY - This certifies that the travel shown above was required by the official duties of the traveler named to my personal knowledge, or as indicated by the records submitted to me.	
Expenses Signatures/Authorizations					
Employee's Signature	Date	Supervisor (under \$100)	Date	Next Level Manager (\$100-\$500)	Date
Division Manager/Next Level Supervisor (over \$500)	Date	Department Manager (over \$500)	Date	DED Internal Audit (Board Members, Chairman & ED)	Date
Executive Director (Senior Staff only)	Date	Chairman (Board & ED only)	Date	Audit Chairman (Chairman travel - Board approved w/vote sheet)	Date

Effective Date 1/1/19

REQUIRED RECEIPTS FOR EXPENSES MUST BE ATTACHED

EXPENSE REPORT

B



1 Today's Date	2 Last Name	First Name	3 Address (If check to be mailed outside the RTA)	4 Division	5 Department
6/23/19	Dillard	Kirk	Chairman	Board	Executive
6 Overall business purpose (Provide when applicable to all Items; otherwise, complete Column 11 for each individual item)			7 Account Name	8 Account General Ledger (GL) Code	9 AA Code
RTA-related travel and other business expenses.			Travel Expense	000-190-52100	999
			Business Expense	000-190-52101	999
			TOTAL:		\$ 608.28
11 Date	12 Item	13 Description of Expenditure(s)			14 Amount
6/12-13/2019	Who/Purpose	Meeting with IL-WI Association Government Officers Group annual meeting re: taxes and transportation infrastructure & Foxconn.			
	Mileage/Tolls/Parking	Mileage R-G-A: 165.0 @ 58¢/mi = \$ 95.70 Tolls: 1 @ 75¢ = \$0.75			
	Lodging	The Inns of Geneva National - 1 nite			\$ 247.81
	Meals	Per diem: 6/12 B-L-D=\$70.00 6/13: B=\$10.00			\$ 80.00
6/13/19	Who/Purpose	1. Pre-meeting with RTA staff for taxpayers Federation meeting. 2. Speaker at Taxpayers Federation of Illinois Annual meeting.			
	Mileage/Tolls/Parking	Mileage A-R: 19.8 @ 58¢/mi = \$ 11.49 Tolls: 1@ 75¢ = \$0.75 Parking: \$20.00			\$ 32.24
	Ground Transportation	To/From RTA and 111 W. Grand Ave. (\$8.00 + \$10.71)			\$ 18.71
6/14/19	Who/Purpose	1. Meeting with India Counsel General and Devon Ave. Business leaders re: business issues. 2. Meeting with Mayor Lori Lightfoot re: transit issues. 3. Meeting with Globetrotters Engineering, CEO N., Shah re: infrastructure activity.			
	Mileage/Tolls/Parking	Mileage R-O-R: 40.6 @ 58¢/mi = \$23.55 Tolls: 2 @ 75¢ = \$1.50 Parking: \$22.00			\$ 47.05
	Ground Transportation	RTA to 318 S. Dearborn (\$8.00) 318 S. Dearborn to Office (\$12.74)			\$ 20.74
6/15/19	Who/Purpose	1. Breakfast meeting with J.C. Phelan, Illinois Automobile Dealers Assn. re: license plate registration fees. 2. Meeting with Fr. Mark Tracey, Loyola University and Quigley Seminary re: parochial school busing. E3. Meeting with Rep. Norrine Hammond, Sen. William Brady re: downstate mass transit/RTA divide share.			
	Mileage/Tolls/Parking	Mileage R-H-I-R: 47.0 @ 58¢/mi = \$27.26 Tolls: 1 @ 75¢ = \$0.75 Parking: \$15.00			\$ 43.01
	Meal	1. JC George's Restaurant			\$ 9.68
	Ground Transportation	140 E. Walton to 108 E. Pearson			
6/17/19	Who/Purpose	1. Lunch meeting with Erika Mullins, Director Major Gifts Art Institute Chicago re: foreign tourist mass trans travel logistics. 2. Speak to Chicago Federation of Women re: RTA transit strategic plan.			
	Mileage/Tolls/Parking	Mileage R-O-R: 40.6 @ 58¢/mi = \$23.55 Tolls: 2 @ 75¢ = \$1.50 Parking: \$22.00			\$ 47.05
	Meal	1. The Grillroom Chophouse			\$ 43.24
	Ground Transportation	To/From Office and 430 N. Michigan Ave. (\$9.25 + \$9.50)			\$ 18.75
15 Comments:				16 SUB-TOTAL	\$ 608.28
Points of Travel for mileage: (All mileage at 58¢/mile - 2019)				17 PREVIOUS PAGE (S) TOTAL	\$ -
R - 501 Wedgewood Ct., Hinsdale (residence)				18 CASH ADVANCE	\$ -
O - 111 S. Wacker Dr. or 211 W. Adams or 315 W. Monroe, Chicago (Office)				19 PREPAID AIR FARE	\$ -
A - RTA (318 S. Federal or 326 S. Wells)				20 PREPAID REGISTRATION FEE	\$ -
G - 1221 Geneva National Ave., Lake Geneva, WI				21a RTA CREDIT CARD CHARGES	\$ -
H -181 Countryside Plaza, Countryside				21b PERSONAL CHARGES ON RTA CREDIT CARD	\$ -
I -111 E. Chestnut, Chicago				22 TOTAL DEDUCTIONS	\$ -
J				23 TOTAL DUE EMPLOYEE (RTA)	\$ 608.28
23 I certify that in accordance with RTA Travel Regulations the above amount is correct and just; that the detailed items charged for subsistence were actually paid; that the expenses were occasioned by official business or unavoidable delays requiring the stay at hotels for the time specified; that the journey was performed with all practicable dispatch by the shortest route usually traveled in the customary reasonable manner; and that I have not been furnished by others with transportation or money in lieu thereof for the journey.				24 PERTAINS TO TRAVEL EXPENSES ONLY - This certifies that the travel shown above was required by the official duties of the traveler named to my personal knowledge, or as indicated by the records submitted to me.	
Expenses Signatures/Authorizations					
Employee's Signature	Date	Supervisor (under \$100)	Date	Next Level Manager (\$100-\$500)	Date
Division Manager/Next Level Supervisor (over \$500)	Date	Department Manager (over \$500)	Date	DED Internal Audit (Board Members, Chairman & ED)	Date
Executive Director (Senior Staff only)	Date	Chairman (Board & ED only)	Date	Audit Chairman (Chairman travel - Board approved w/vote sheet)	Date

EXPENSE REPORT

C

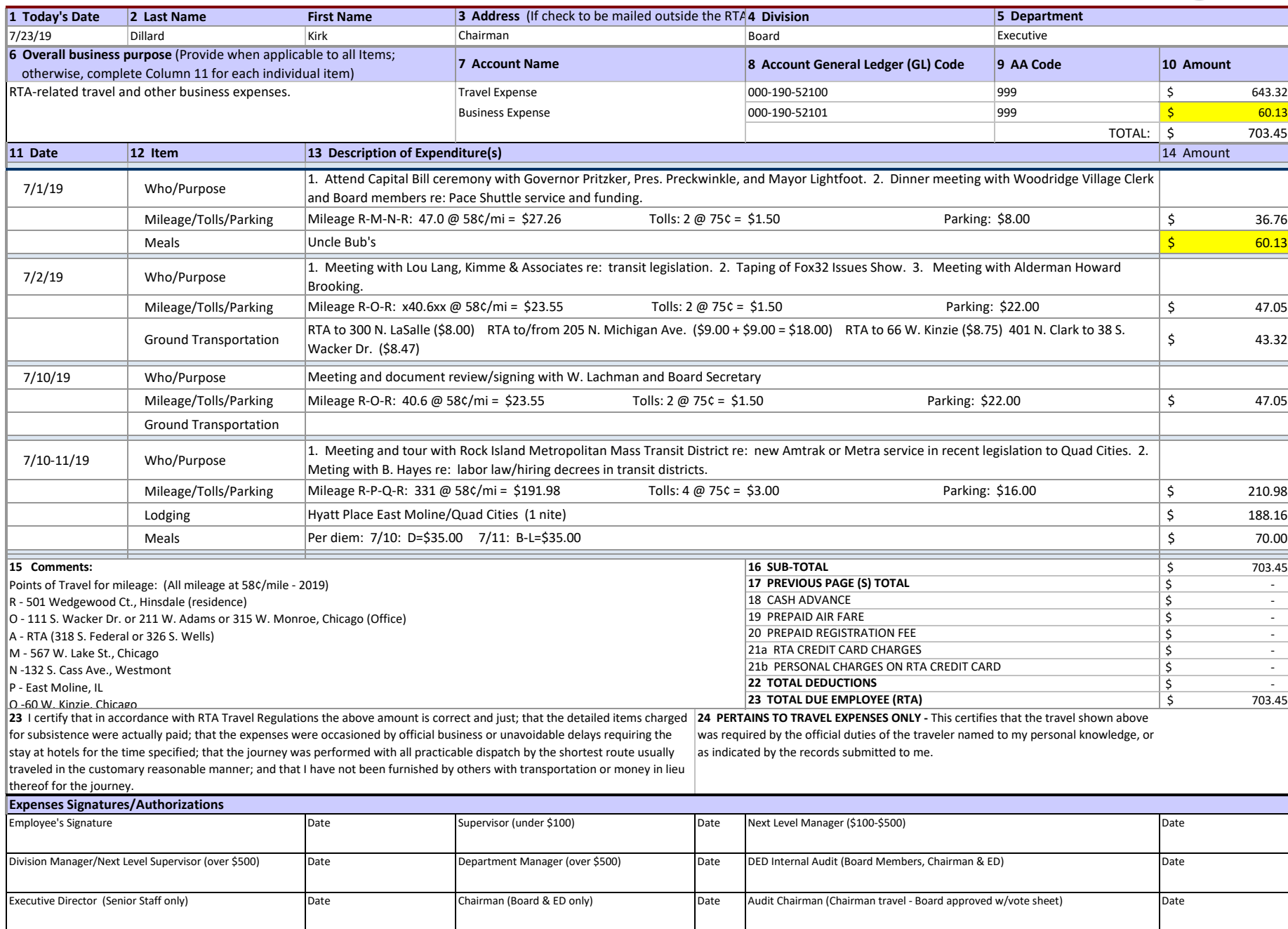


1 Today's Date	2 Last Name	First Name	3 Address (If check to be mailed outside the RTA)	4 Division	5 Department
7/23/19	Dillard	Kirk	Chairman	Board	Executive
6 Overall business purpose (Provide when applicable to all Items; otherwise, complete Column 11 for each individual item)			7 Account Name	8 Account General Ledger (GL) Code	9 AA Code
RTA-related travel and other business expenses.			Travel Expense	000-190-52100	999
			Business Expense	000-190-52101	999
			TOTAL:		\$ 472.08
11 Date	12 Item	13 Description of Expenditure(s)			14 Amount
6/19/19	Who/Purpose	1. Lunch meeting with former IDOT General Counsel Edward Gower re: RTA statute interpretation. 2. Meeting with Senate President Cullerton, Mile Sturino, Pres. Illinois Roadbuilders, Tom Kotel, CMAP staff re: release of capital funding.			
	Mileage/Tolls/Parking	Mileage R-J-A-R: 42.1 @ 58¢/mi = \$24.42 Tolls: 2 @ 75¢ = \$1.50 Parking: \$12.00			\$ 37.92
	Meals	1. Pages Restaurant			\$ 26.45
6/24/19	Who/Purpose	1. Meeting with William Sharp, Chief Revenue Officer, Illinois Special Olympics, and Steve Cochran, WGN Radio re: paratransit and transportation for children's cancer families.			
	Mileage/Tolls/Parking	Mileage R-K-R: 64.7 @ 58¢/mi = \$37.53 Tolls: 1 @ 75¢ = \$0.75 Parking: \$5.00 (no receipt provided)			\$ 43.28
6/25/19	Who/Purpose	1. Lunch meeting with Thomas Carper Amtrak, then meeting with Michael Inman, Illinois Municipal League, and Thomas Oakley, Co-Chair, Illinois Infrastructure Group re: Capital legislative funding issues.			
	Mileage/Tolls/Parking	Mileage R-L-R: 461 @ 58¢/mi = \$267.38			\$ 267.38
	Meals	Coffee: \$1.09 Lunch (Chubby's): \$27.05 Dinner (Casey's): \$4.02			\$ 32.16
6/26/19	Who/Purpose	1. Meeting with Bob Dunn, Lynette Crandall, re: One Central Station legislation. 2. Meeting Lord Major of London Delegation. 3. Meeting with former US Secretary of Defense Leon Panatta & Rush Hospital physicians on ADA transportation for veterans.			
	Mileage/Tolls/Parking	Mileage R-O-R: 40.6 @ 58¢/mi = \$23.55 Tolls: 2 @ 75¢ = \$1.50 Parking: \$22.00			\$ 47.05
	Ground Transportation	RTA to 120 Delaware Place (\$14.50) 126 E. Chestnut to office (\$13.34)			\$ 17.84
15 Comments:				16 SUB-TOTAL	\$ 472.08
Points of Travel for mileage: (All mileage at 58¢/mile - 2019)				17 PREVIOUS PAGE (S) TOTAL	\$ -
R - 501 Wedgewood Ct., Hinsdale (residence)				18 CASH ADVANCE	\$ -
O - 111 S. Wacker Dr. or 211 W. Adams or 315 W. Monroe, Chicago (Office)				19 PREPAID AIR FARE	\$ -
A - RTA (318 S. Federal or 326 S. Wells)				20 PREPAID REGISTRATION FEE	\$ -
J - 26 1/2 Hinsdale Ave., Hinsdale				21a RTA CREDIT CARD CHARGES	\$ -
K - 22353 Old McHenry Rd., Lake Zurich				21b PERSONAL CHARGES ON RTA CREDIT CARD	\$ -
L - 1 University Circle, Macomb				22 TOTAL DEDUCTIONS	\$ -
				23 TOTAL DUE EMPLOYEE (RTA)	\$ 472.08
23 I certify that in accordance with RTA Travel Regulations the above amount is correct and just; that the detailed items charged for subsistence were actually paid; that the expenses were occasioned by official business or unavoidable delays requiring the stay at hotels for the time specified; that the journey was performed with all practicable dispatch by the shortest route usually traveled in the customary reasonable manner; and that I have not been furnished by others with transportation or money in lieu thereof for the journey.				24 PERTAINS TO TRAVEL EXPENSES ONLY - This certifies that the travel shown above was required by the official duties of the traveler named to my personal knowledge, or as indicated by the records submitted to me.	
Expenses Signatures/Authorizations					
Employee's Signature	Date	Supervisor (under \$100)	Date	Next Level Manager (\$100-\$500)	Date
Division Manager/Next Level Supervisor (over \$500)	Date	Department Manager (over \$500)	Date	DED Internal Audit (Board Members, Chairman & ED)	Date
Executive Director (Senior Staff only)	Date	Chairman (Board & ED only)	Date	Audit Chairman (Chairman travel - Board approved w/vote sheet)	Date

Effective Date 1/1/19

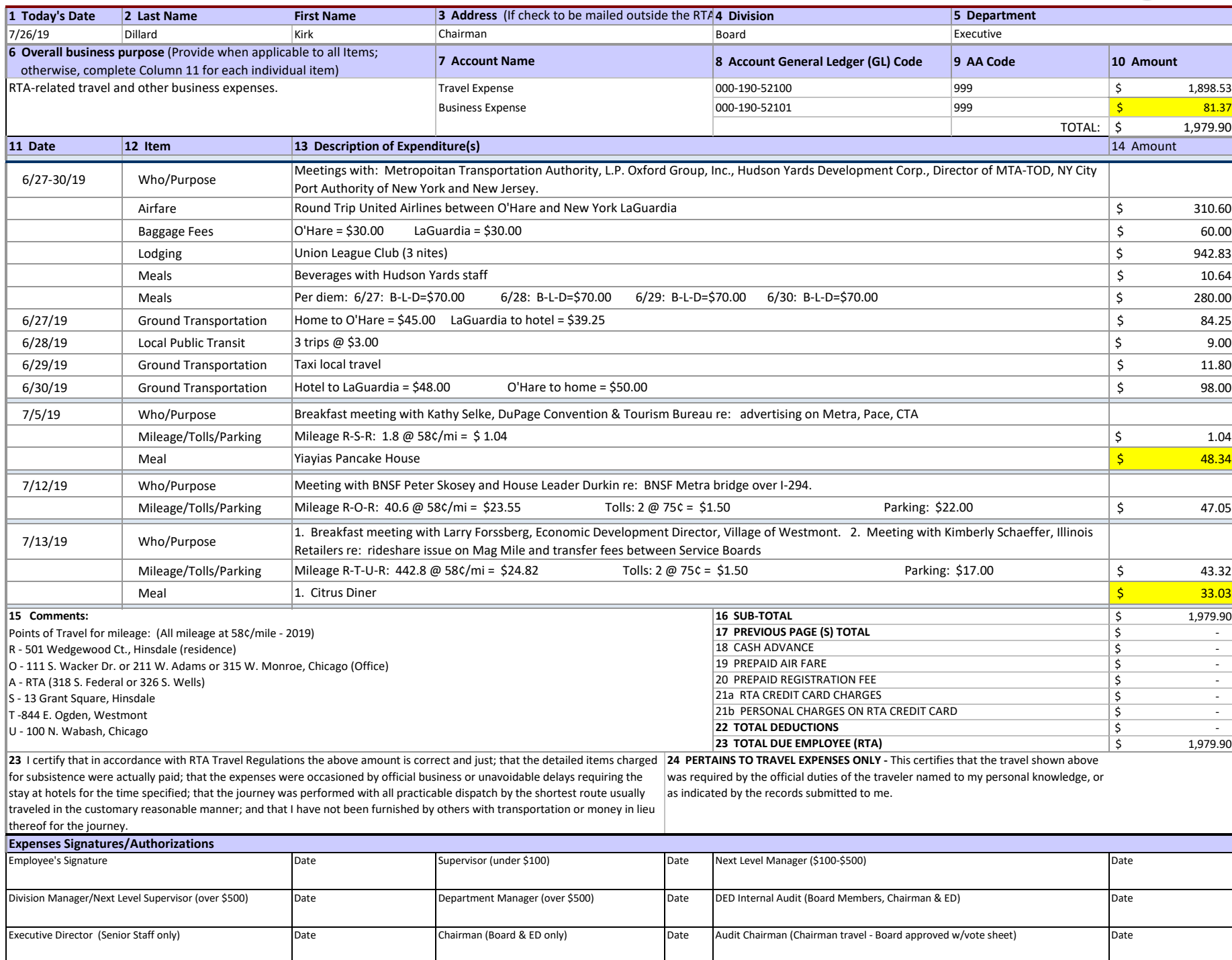
REQUIRED RECEIPTS FOR EXPENSES MUST BE ATTACHED

D



REQUIRED RECEIPTS FOR EXPENSES MUST BE ATTACHED

E



EXPENSE REPORT

F



1 Today's Date	2 Last Name	First Name	3 Address (If check to be mailed outside the RTA)	4 Division	5 Department
7/26/19	Dillard	Kirk	Chairman	Board	Executive
6 Overall business purpose (Provide when applicable to all Items; otherwise, complete Column 11 for each individual item)			7 Account Name	8 Account General Ledger (GL) Code	9 AA Code
RTA-related travel and other business expenses.			Travel Expense	000-190-52100	999
			Business Expense	000-190-52101	999
			TOTAL:		\$ 661.25
11 Date	12 Item	13 Description of Expenditure(s)			14 Amount
7/14/19	Who/Purpose	Guest on WGN Radio "Sunday Spin"			
	Mileage/Tolls/Parking	Mileage R-V-R: 42.6 @ 58¢/mi = \$24.71 Tolls: 2 @ 75¢ = \$1.50 Parking: \$13.16			\$ 39.37
7/15/19	Who/Purpose	Meeting with Donald Schaefer, President, Illinois Trucker Assoc. re: gas tax and lockbox amendment			
	Mileage/Tolls/Parking	Mileage R-W-R: 42.5 @ 58¢/mi = \$24.65 Tolls: 2 @ 75¢ = \$1.50 Parking: \$16.00			\$ 42.15
7/16/19	Who/Purpose	1. Meeting with DuPage County Board, Brian Krejewski and State Attorney Robert Berlin re: Metra issues. 2. Lunch meeting with L. Redden and J. LaMarche re: preparation of Committee assignments and 2020 budget mark-ups. 3. Meeting with Greater North Michigan Ave. Assn. re: rideshare issues and CTA.			
	Mileage/Tolls/Parking	Mileage R-X-A-R: 48.7 @ 58¢/mi = \$28.25 Tolls: 2 @ 75¢ = \$1.50 Parking: \$30.00			\$ 59.75
	Meal	2. Union League Club			\$ 78.25
	Ground Transportation	Taxi from 625 N. Michigan Ave. to Union League			\$ 10.00
7/17-18/19	Who/Purpose	Attend Mendoza/Mesirow Financial Annual Public Finance meeting at University of Notre Dame re: public finance and credit ratings.			
	Mileage/Tolls/Parking	Mileage R-Y-R: 210.0 @ 58¢/mi = \$121.80 Tolls: 5 @ 75¢ = \$ 3.75			\$ 125.55
	Lodging	Hilton Garden Inn (1 nite)			\$ 155.13
	Meals	Per diem: 7/17: B-L-D=\$70.00 7/18: B-L: \$35.00			\$ 105.00
7/23/19	Who/Purpose	Meeting with Senior Staff and One Central Station Developers staff			
	Mileage/Tolls/Parking	Mileage R-A-R: 40.6 @ 58¢/mi = \$23.55 Tolls: 2 @ 75¢ = \$1.50 Parking: \$21.00			\$ 46.05
15 Comments:				16 SUB-TOTAL	
Points of Travel for mileage: (All mileage at 58¢/mile - 2019)				\$ 661.25	
R - 501 Wedgewood Ct., Hinsdale (residence)				17 PREVIOUS PAGE (S) TOTAL	
O - 111 S. Wacker Dr. or 211 W. Adams or 315 W. Monroe, Chicago (Office)				\$ -	
A - RTA (318 S. Federal or 326 S. Wells)				18 CASH ADVANCE	
V - Columbus Drive & Stetson, Chicago				\$ -	
W - 205 N. Michigan Ave., Chicago				19 PREPAID AIR FARE	
X - 5101 Main St., Downers Grove				\$ -	
Y - 52995 Indiana State Route 933, South Bend, IN				20 PREPAID REGISTRATION FEE	
				\$ -	
				21a RTA CREDIT CARD CHARGES	
				\$ -	
				21b PERSONAL CHARGES ON RTA CREDIT CARD	
				\$ -	
				22 TOTAL DEDUCTIONS	
				\$ -	
				23 TOTAL DUE EMPLOYEE (RTA)	
				\$ 661.25	
23 I certify that in accordance with RTA Travel Regulations the above amount is correct and just; that the detailed items charged for subsistence were actually paid; that the expenses were occasioned by official business or unavoidable delays requiring the stay at hotels for the time specified; that the journey was performed with all practicable dispatch by the shortest route usually traveled in the customary reasonable manner; and that I have not been furnished by others with transportation or money in lieu				24 PERTAINS TO TRAVEL EXPENSES ONLY - This certifies that the travel shown above was required by the official duties of the traveler named to my personal knowledge, or as indicated by the records submitted to me.	
Expenses Signatures/Authorizations					
Employee's Signature	Date	Supervisor (under \$100)	Date	Next Level Manager (\$100-\$500)	Date
Division Manager/Next Level Supervisor (over \$500)	Date	Department Manager (over \$500)	Date	DED Internal Audit (Board Members, Chairman & ED)	Date
Executive Director (Senior Staff only)	Date	Chairman (Board & ED only)	Date	Audit Chairman (Chairman travel - Board approved w/vote sheet)	Date

Effective Date 1/1/19

REQUIRED RECEIPTS FOR EXPENSES MUST BE ATTACHED

EXPENSE REPORT



1 Today's Date	2 Last Name	3 First Name	4 Address (if check to be mailed outside the RTA)	5 Division	6 Department
7/16/19	Singh	Hersh		Data Services & Analytics	Planning & Market Development
6 Overall business purpose (Provide when applicable to all items; otherwise, complete Column 11 for each individual item) Attending the 2019 ESRI User's Conference			7 Account Name Travel Expense	8 Account General Ledger (GL) Code 000-570-62100	9 AA Code 999
					10 Amount 1,990.09
11 Date	12 Item	13 Description of Expenditure(s)	14 Amount		
5/14/19	1	Air Fare roundtrip ORD to SAN	\$ 411.60		
7/7/18	2	Lyft from home to ORD	\$ 26.04		
7/7/19		Meals	\$ 70.00		
7/8/19		Meals	\$ 70.00		
7/9/19		Meals	\$ 70.00		
7/10/19		Meals	\$ 70.00		
7/11/19		Meals	\$ 70.00		
7/12/19	3	Hotel - 5 nights	\$ 1,623.85		
15 Comments:					
16 SUB-TOTAL \$ 2,411.49					
17 PREVIOUS PAGE (S) TOTAL \$ -					
18 CASH ADVANCE \$ -					
19 PREPAID AIR FARE \$ 421.40					
20 PREPAID REGISTRATION FEE \$ -					
21a RTA CREDIT CARD CHARGES \$ -					
21b PERSONAL CHARGES ON RTA CREDIT CARD \$ -					
22 TOTAL DEDUCTIONS \$ 421.40					
23 TOTAL DUE EMPLOYEE (RTA) \$ 1,990.09					
23 I certify that in accordance with RTA Travel Regulations the above amount is correct and just; that the detailed items charged for subsistence were actually paid; that the expenses were occasioned by official business or unavoidable delays requiring the stay at hotels for the time specified; that the journey was performed with all practicable dispatch by the shortest route usually traveled in the customary reasonable manner; and that I have not been furnished by others with transportation or money in lieu thereof for the journey.					
24 PERTAINS TO TRAVEL EXPENSES ONLY - This certifies that the travel shown above was required by the official duties of the traveler named to my personal knowledge, or as indicated by the records submitted to me.					
Expenses Signatures/Authorizations					
Employee's Signature 	Date	Supervisor (under \$100) 	Date	Next Level Manager (\$100-\$500) 	Date
Division Manager/Next Level Supervisor (over \$500) 	Date	Department Manager (over \$500) 	Date	DED Internal Audit (Board Members, Chairman & ED) 	Date
Executive Director (Senior Staff only) 	Date	Chairman (Board & ED only) 	Date	Audit Chairman (Chairman only) 	Date

REQUIRED RECEIPTS FOR EXPENSES MUST BE ATTACHED

EXPENSE REPORT



1 Today's Date	2 Last Name	3 First Name	4 Address (if check to be mailed outside the RTA)	5 Division	6 Department	7 Account Name	8 Account General Ledger (GL) Code	9 AA Code	10 Amount
7/15/19	Thompson	Brad		Data Services & Analytics	Planning & Market Development				
6 Overall business purpose (Provide when applicable to all items; otherwise, complete Column 11 for each individual item) Attend the ESRI Annual Users Conference (2019)						Travel Expense	570 000-646-52100	AA999	1,999.49
11 Date 12 Item 13 Description of Expenditure(s) 14 Amount									
5/16/19	1	Airfare - Round Trip ORD - SAN (United \$371.30) / SAN - ORD (American \$228.30)							599.60
7/7/19	2	Personal Auto - Rnd Trip - Home (332 Pleasant Hill, Palatine, IL) - O'Hare - Home (Mapquest 33 tot. mi. @ .58 /mi - \$19.14) 2 Tolls @ .75ea (\$1.50)							20.64
7/7/19		Meals (Sun.)							20.00
7/7/19		Meals (Mon.)							70.00
7/8/19		Meals (Tues.)							70.00
7/9/19		Meals (Wed.)							70.00
7/10/19		Meals (Thur.)							70.00
7/11/19	3	Hotel - 5 Nights							1,623.85
7/12/19	4	CTA - O'Hare to Jefferson Park							5.00
15 Comments: Airfare purchased on RTA card (OT)									
16 SUB-TOTAL \$ 2,599.09									
17 PREVIOUS PAGE (S) TOTAL \$ -									
18 CASH ADVANCE \$ -									
19 PREPAID AIR FARE \$ 599.60									
20 PREPAID REGISTRATION FEE \$ -									
21a RTA CREDIT CARD CHARGES \$ -									
21b PERSONAL CHARGES ON RTA CREDIT CARD \$ -									
22 TOTAL DEDUCTIONS \$ 599.60									
23 TOTAL DUE EMPLOYEE (RTA) \$ 1,999.49									
23 I certify that in accordance with RTA Travel Regulations the above amount is correct and just; that the detailed items charged for subsistence were actually paid; that the expenses were occasioned by official business or unavoidable delays requiring the stay at hotels for the time specified; that the journey was performed with all practicable dispatch by the shortest route usually traveled in the customary reasonable manner; and that I have not been furnished by others with transportation or money in lieu thereof for the journey.									
24 PERTAINS TO TRAVEL EXPENSES ONLY - This certifies that the travel shown above was required by the official duties of the traveler named to my personal knowledge, or as indicated by the records submitted to me.									
Expenses Signatures/Authorizations									
Employee's Signature <i>Brad Thompson</i>		Date 7/15/2019		Supervisor (under \$100)		Date		Next Level Manager (\$100-\$500)	
Division Manager/Next Level Supervisor (over \$500) <i>[Signature]</i>		Date 7/15/19		Department Manager (over \$500) <i>Aqu Jones</i>		Date 7/16/19		DED Internal Audit (Board Members, Chairman & ED)	
Executive Director (Senior Staff only)		Date		Chairman (Board & ED only)		Date		Audit Chairman (Chairman only)	

REQUIRED RECEIPTS FOR EXPENSES MUST BE ATTACHED

JOH340 T/C-30

EXPENSE REPORT



1 Today's Date	2 Last Name	3 First Name	3 Address (If check to be mailed outside the RTA)	4 Division	5 Department
6/12/19	Yu	John		Controller	F.I.T
6 Overall business purpose (Provide when applicable to all items; otherwise, complete Column 11 for each individual item)					
Expenses associated with attending the GFOA 113th annual conference from May 19-22, 2019 in Los Angeles CA.					
7 Account Name	8 Account General Ledger (GL) Code	9 AA Code	10 Amount		
Travel Expenses	000-720-52100	999	\$ 2,211.50		
11 Date	12 Item	13 Description of Expenditure(s)	14 Amount		
May 18-21-2019	Hotel	CourtYard by Marriott (1 night) \$ 277.68 JW Marriott (3 nights) \$ 1104.12 clean tip total \$ 9.00	\$ 1,390.80		
	conference fee	GFOA annual conference Fee	\$ 490.00		
May 18-19 2019	Meals 2 days	Per Diem \$70x2 days	\$ 140.00		
5/20/19	Meal	per Diem (Breakfast and Dinner only)	\$ 45.00		
5/21/19	Meal	per Diem (Breakfast and Dinner only)	\$ 45.00		
5/22/19	Meal	Per Diem	\$ 70.00		
5/18/19	Lyft - Ride	Home to Ord airport (Lyft) \$ 50.79 -	\$ 50.79		
5/18/19	Uber - Ride	From LAX airport to Hotel - Uber \$ 32.94	\$ 32.94		
5/22/19	Lyft - Ride	From Hotel to LAX Airport - Lyft \$ 35.95	\$ 35.92		
5/22/19	Uber - Ride	From Ord airport to 1/2 home - Uber-200E Golf , Schaumburg \$ 40.27	\$ 40.27		
	Drive to Home	Drive 200 E. Golf to Home (16 Daybreak Ridge Cary) - 34.80 miles x \$0.58 = \$ 20.18	\$ 20.18		
Air Ticket	ORD to LAX	Round ticket	\$ 340.60		
15 Comments:			16 SUB-TOTAL		
Home address: 16 Daybreak Ridge Tr. Cary IL 60013 *** May 22nd (8 pm) - ORD to home Uber was around \$80 so I took the Uber to Half way and picked up by son)			17 PREVIOUS PAGE (\$ TOTAL)	\$ -	
			18 CASH ADVANCE	\$ -	
			19 PREPAID AIR FARE	\$ -	
			20 PREPAID REGISTRATION FEE	\$ 490.00	
			21a RTA CREDIT CARD CHARGES	\$ -	
			21b PERSONAL CHARGES ON RTA CREDIT CARD	\$ -	
			22 TOTAL DEDUCTIONS	\$ 490.00	
			23 TOTAL DUE EMPLOYEE (RTA)	\$ 2,211.50	
23 I certify that in accordance with RTA Travel Regulations the above amount is correct and just; that the detailed items charged for subsistence were actually paid; that the expenses were occasioned by official business or unavoidable delays requiring the stay at hotels for the time specified; that the journey was performed with all practicable dispatch by the shortest route usually travelled in the customary reasonable manner; and that I have not been furnished by others with transportation or money in lieu thereof for the journey.			24 PERTAINS TO TRAVEL EXPENSES ONLY - This certifies that the travel shown above was required by the official duties of the traveler named to my personal knowledge, or as indicated by the records submitted to me.		

Expenses Signatures/Authorizations		Date	Date
Employee's Signature	Supervisor (under \$100)	6/12/19	
Division Manager/Next Level Supervisor (over \$500)	Department Manager (over \$500)		
Executive Director (Senior Staff only)	Chairman (Board & ED only)		
	Audit Chairman (Chairman only)		6/20/19

REQUIRED RECEIPTS FOR EXPENSES MUST BE ATTACHED